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May 5, 1997

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Sir:

Transmitted herewith for filing is the patent application of P97,1046

This application is a continuation-in-part of U.S. Serial No. 08/289,257, filed on August 11, 1994.

Inventor: Michael J. Novosel, Vincent S. Fleszewski, III and Kelly Boles

For: "SOUND RECORDING AND REPRODUCTION SYSTEM"

- 14 sheet(s) of drawings.  
☐ An assignment of the invention to \_\_\_\_\_  
☐ Information Disclosure Statement.  
☒ Small Entity Declaration.  
☐ Letter under Rule 37 CFR 1.41(c)  
☒ Express Mail Letter # EM010534185US

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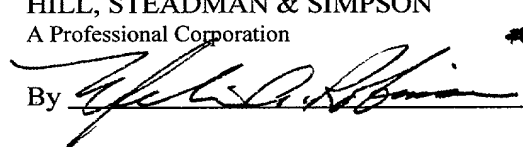
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U-11

Applicant or Patentee Michael J. Novosel et al.  
Serial or Patent No. \_\_\_\_\_  
Filed or Issued: \_\_\_\_\_  
For: "SOUND RECORDING AND REPRODUCTION SYSTEM"

Attorney's  
Docket No.: P97,1046

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
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I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
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NAME OF SMALL BUSINESS CONCERN REAL RAIL EFFECTS, INC.  
ADDRESS OF SMALL BUSINESS 4220 North Marmora, Chicago, IL 60634

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: "SOUND RECORDING AND REPRODUCTION SYSTEM" by inventors MICHAEL J. NOVOSEL et al. as described in

- ☒ the specification filed herewith  
☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

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NAME OF PERSON SIGNING MICHAEL J. NOVOSEL

TITLE OF PERSON OTHER THAN OWNER PRESIDENT

ADDRESS OF PERSON SIGNING 4220 NORTH MARMORA STREET, CHICAGO, IL 60634

SIGNATURE Michael J Novosel DATE May 4, 1987

S P E C I F I C A T I O N

TITLE

**"SOUND RECORDING AND REPRODUCTION SYSTEM"**

**RELATED APPLICATION DATA**

5           This application is a continuation-in-part of U.S. Patent application Serial No. 08/289,257, filed on August 11, 1994.

**BACKGROUND OF THE INVENTION**

10           The present invention generally relates to a modular device, system and method for storing, playing back and recording audio data. More specifically, the present invention relates to a modular device, system and method for reproducing audio data, such as voice and sound effects in a realistic manner.

15           It is, of course, generally known to generate simulated sounds in response to external stimuli, such as motion. One common industry in which sound production is often simulated is the model railroad industry. Sounds, such as those made by various animals, such as cows, sheep, pigs, and the like, are often reproduced. These  
20           sounds are typically generated in connection with a particular car of a railroad to enhance the interest and realism of the model railroad.

25           Another example of sounds being generated in conjunction with model trains is the heightened realism attained when used with a steam or diesel locomotive. In the past, when sound features have been controlled in conjunction with a model locomotive, methods other than motion have been used to turn these types of sound  
30           effects on and off. Some of these methods have been: DC voltage superimposed upon an AC voltage, magnets, reed switches, Hall effect sensors or motion. The use of radio signals or a carrier control signal superimposed upon an

AC or DC voltage have been used as well. Furthermore, a separate controller, which varies either AC or DC voltage or current, was required to control the speed and direction of the model train. There has not been a means to integrate all simulated controllable functions a model train may have into a model locomotive or car.

A need, therefore, exists to realistically reproduce and control sound effects, control model train motors and special effects. This need can be best filled by using a sound unit and Digital Command Control for controlling simulated sounds and simultaneously control propulsion of the model trains. Digital Command Control is a type of control that makes use of a digital bi-polar signal to control model trains. As defined in the NMRA Standards, the National Model Railroad Association baseline, Digital Command Control signal consists of a stream of transitions between two equal voltage levels that have opposite polarity. Alternate transitions are separated from one bit to the next. The remaining transitions divide each bit into a first part and last part. Use of this format gives the hobbyist the most choices for controlling aspects of a sound unit mounted in a model train as a self contained unit or in a track side structure as a accessory.

An example of a known sound effect producing model railroad car is described in U.S. Patent No. 5,267,318 to Severson et al. The '318 patent teaches a speech synthesis circuit for playing selected cow voices stored as digital data in an EPROM. In a random mode of operation, a state generator provides a pseudo-random count that is used to select among four different calm cow voices, one of which is silence. The resulting audio output is perceived as random contented cow sounds. A

pendulum motion detector provides an indication of lateral motion of the system. An up/down motion counter maintains a motion count reflecting the level of excitation of the system and the cows. The motion counter increments responsive to motion and decrements gradually in the absence of detected motion. A motion count of at least four invokes a triggered mode of operation in which the counter output is used to select among four different excited cow voices.

In the alternate embodiment of the present invention that uses only the sound reproduction apparatus, its improvement over the '318 patent is that no motion counter, micro-controller or state generator is needed to generate a response to a lateral movement of the sound car. The simple movement of the car is all that is needed to cause a response from the sound memory to play-back simple sound effects.

Previous inventions that have tried to control sound effects for model locomotives have only utilized an electro-mechanical means to control the synchronized sound functions whereas the present invention controls all aspects using digital control of the following: sound, model locomotive speed, direction and special effects on board. Another known system that relates to model trains is U.S. Patent No. 5,174,216 to Miller et al. In the '216 patent, there is no means to execute sound effects at the model train enthusiast's discretion or to control speed, direction or other onboard special effects. The '216 patent also utilizes a single chuff sample for all speeds, that is controlled using an opto-sensor to define an on or off state. The opto-sensor simply controls one chuff sound effect no matter at what speed the model locomotive may be traveling. The speed

simply determines the rate of the chuff. It cannot select from a set of speed sound effects that give a better simulation of different speeds and work loads. The present invention overcomes this deficiency by comparing the on-off rate of the sensor to the digital speed packet. Furthermore, the '216 patent makes use of a limited menu of bell, whistle or horn sound effects that are triggered through the use of a Hall effect and various combination of magnets that are interpreted by a micro controller. The micro-controller then determines which bell/horn whistle sound effects to play. This system relies upon magnets placed along the model railway at specific points. The '216 patent system does not allow for any random play-back or variance of the predetermined menu of sound effects. The '216 patent relies upon a variable AC or DC voltage to control the frequency of the steam chuff or the amplitude of the diesel throb. The previously mentioned variable track voltage is also used to supply current to the sound reproduction circuitry. Because of the variable nature of the power supply for speed control, in order to hear sound effects through all voltage ranges especially in the 0 to 5 volt range, a switchable power supply is needed to change between the track supplied power and a battery back-up.

The '216 patent also is deficient in that it is not able to discreetly control sound effects, or regulate the speed of a model locomotive, control direction and other onboard special effects at any random location. The '216 patent is only able to trigger specific sound effects at predetermined locations, and a battery back-up is required for use in all voltage ranges. Because the '216 patent makes use of the variable track supplied power to

supply voltage for the circuitry and regulation of the chuff or diesel sound effects, it is unable to operate at slow prototype speeds in a model setting.

5 There have been attempts at controlling the speed of  
a model locomotive, sound and special effects to overcome  
the above deficiencies. One known system that attempted  
to do this is taught in U.S. Patent No. 4,914,431. In  
10 this patent, the motor controller device is used with AC-  
powered model trains where typically these types of  
trains make use of variable AC voltage to control the  
speed of a locomotive, typically described as "Lionel  
trains." Furthermore, these types of trains make use of  
15 a three-position switch that is controlled by a solenoid  
to determine forward, neutral or reverse. This unit is  
called a reverse unit, which the '431 patent is designed  
to operate exclusively. The scope of the '431 patent is  
intended to sync the electronic reverse units of a master  
and slave locomotive. Furthermore, the control system  
20 uses state generators for expansion of the remote control  
effects found on a model locomotive. This is accomplished  
by simply using a positive and or negative DC digital  
pulse repeatedly applied to create and to control a  
plurality of state control signals. Although each motor  
controller can operate up to sixteen states, only four  
25 state generators are enabled for use. This pulse signal  
is superimposed on the AC motor control supply voltage  
and can only control one set of special effects per  
usage. Another deficiency of the '431 patent is that, in  
its preferred embodiment, only two addresses are  
30 possible: a master and a slave. The '431 patent is not  
designed for multiple locomotives in use in multiple  
combinations. For the operator of DC powered trains,  
these deficiencies make the device unsuitable. Finally,



this system to control motors and sound effects is a proprietary system and does not inter-operate with any control system other than those for AC-powered trains.

Another known patent that attempts to control speed,  
5 sound and special effects in more than two locomotives is U.S. Patent No. 5,441,223 to Young. In this patent, an RF and an electro-magnetic signal are used in conjunction with a triac to control speed of AC powered locomotives. The triac is modulated and turns the AC power on and off  
10 for speed control of the addressed locomotive. This system is designed specifically for Lionel brand trains. Reverse compatibility is required to operate previously made AC trains that use the three position reverse unit. As in the '431 patent, the '223 patent uses a switching  
15 circuit to control the reverse unit using commands. In a further attempt to preserve reverse compatibility, the '223 patent may still superimpose upon the AC motor control current a DC offset for control of whistle and bell effects on non-receiver equipped locomotives. Due to  
20 the need to control the reverse unit and the DC offset, any other type of model trains, that require DC current for motor power cannot use this system. In addition, to the limitation of operating AC powered trains only, the quantity of locomotives the hobbyist may operate with  
25 this system is limited to ten. There are additional operational limitations to this system: it requires a hands on approach to place the locomotive in a programming mode, a manual switch needs to be accessed, the inability to tailor locomotive motor performance  
30 characteristics such as acceleration and/or deceleration, and inability to tailor sound performance to personal preferences.

There are also three other known U.S. patents that

make use of a command control structure for only motor control. One is U.S. Patent No. 4,572,996 to *Hanschke et al.* This patent makes use of a Digital Command Control format, but is limited in scope due to its limited address capabilities and the lack of hobbyist programmable features to enhance performance of the locomotives and sound systems. Like the '431 and '223 patents, it is a proprietary system that uses its own protocol. Furthermore, the '996 patent lacks the ability to operate other brands of Digital Command Control receivers which limits its usage.

U.S. Patent No. 4,335,381 to *Palmer* makes use of a composite waveform, again demonstrating the proprietary nature of these types of controls. Furthermore, the data portion is a burst that is attached to the back of the waveform that actually powers the devices attached to the controller. This system, although flexible, appears to be limited in its address capabilities due to the method of selecting addresses for each receiver, and the quantity of data bits appears to affect the amount of power available to power motors and ancillary devices. Like the '996 patent, it is limited in its preferred embodiment to speed, direction and inertia.

U.S. Patent No. 4,341,982 to *Lahti et al.* makes use of a carrier control signal. This patent uses DC power for propulsion of the model locomotive motor and simply superimposes a selected modulated frequency on top of the DC power. The superimposed control signal is a band width equal to the highest frequency of the carrier control signal which is equal to the highest selected carrier control address. This system's deficiencies include no easy way to change a locomotive's address, limited in band width for address range, operating characteristics of the

motor controller are limited to: direction and deceleration only, and no provisions for additional features to be actuated remotely, such as operation of a sound unit or special lighting effects.

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As seen by the above patents, prior art exists; however, each makes use of a proprietary format that only operates each manufacturer's or inventor's devices and are limited in operational characteristics. The present invention, on the contrary, operates across any manufacturer's control systems as long they observe the NMRA digital format now in practice.

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The present invention overcomes the above shortcomings by utilizing a micro-controller that decodes a discreet bi-polar digital command directed to its specific address for control of: sound effects, speed regulation, direction of a model locomotive and control other on-board special effects. In addition, the constant voltage supplied to the track is able to supply a constant voltage to a regulated power source to the present invention at all times, no matter what the speed of the model locomotive. In addition to the simple features as outlined, the hobbyist also has access to certain registers that may be used to customize a model locomotive's motor control characteristic and sound features.

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The previously mentioned micro-controller uses, in this embodiment, a prescribed packet format that includes speed, direction and accessory/special effects commands. The preferred digital format that is used is dictated by the National Model Railroad Association. By using this format the present invention is able to inter-operate with control systems that are currently on the market and

is not dependent on a proprietary control system. However, various digital formats exist for the use of model train control, and the present invention can be adapted to these as well. All aspects of the present invention may be controlled in a hands off manner by executing various addressed commands that are sent on a plurality of tracks as a digital signal to a specific model locomotive. The only limit on this type of invention is the size of the micro-controller and sound memory.

#### **SUMMARY OF THE INVENTION**

The present invention can be executed in two configurations, the first uses only the sound reproduction apparatus. The other configuration uses the sound reproduction apparatus and a digital control decoder which is useful when used with model trains that use Digital Command Control.

In the first embodiment, the sound storage and reproduction section of the present invention is used to generate a sound with or without external stimuli, such as being used in a sound-producing, model railroad car. Moreover, the present embodiment provides a system and a method for recording audio data and playing back the audio data in an asynchronous manner. This embodiment provides a simplified means to store and play-back the audio from the sound storage chip. In the preferred embodiment of the present invention an EEPROM is used, that uses Direct Analog Storage Technology (DAST™ by Information Storage Devices) which makes an analog recording of the audio information.

In an alternate embodiment of the sound storage section, the audio is digitized and compressed, and voice synthesis is used as steps in recording the information

onto a digital EPROM for use with, for example, a Yamaha YM3812 as a sound generator. For play-back, a digital to analog conversion is necessary to convert the digitized information into an analog wave form. The preferred  
5 embodiment of the present invention uses an analog EEPROM that does not require any of these intermediate steps. So the present device simplifies the recording and playback operation for this type of application when used in the preferred embodiment.

10 Furthermore, by the addition of a microphone to the preferred embodiment, the consumer may add his own voice to the pre-recorded material to tailor the sound effect in some applications through the use of the DAST™ EEPROM that permits recording and re-recording of additional  
15 voice or audio effects. This additional voice information may be blocked from overwriting the pre-recorded material on the chip through the use of the multiple address capabilities the DAST™ EEPROM possesses.

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When the device is executed in the second configuration using Digital Command Control, the following functions may be accessed and controlled: sound, speed, acceleration, deceleration, direction and any special effects. In a preferred embodiment, a plurality of sound effects are stored on a sound storage device at predetermined addresses that employ DAST™ technology to store an analog sound effect. These same sound effects and principles may also be utilized using a digital type of sound storage chip and a Yamaha YM3812 sound generator.

An addressed Digital Command Control signal is amplified prior to being placed on the rails to a suitable amplitude to power the sound unit's analog sample memory, integral decoder, power the model loco-

5 motive's motor and special effects. Each sound unit uses a discrete address so that it may be independently controlled, and multiple sound units may be in use by the model train operators. Each model train operator controls the following functions of his particular sound unit: all sound functions, model train motor control, and on board special effects.

10 The present invention makes use of prescribed digital control packets that are addressed to a sound unit's decoder and broadcasted through either two or three model train rails, an overhead track wire or a buss line for reception. The present invention decodes multiple broadcasted digital packets of which one will match the sound unit's preset address. The sound unit  
15 activates an appropriate sound, light or other special effect or institutes changes upon motor speed or direction based upon the information contained within the decoded digitally broadcasted packets. The sound effects may be synchronous using the speed packets to determine  
20 a sound effect or asynchronous if a bell, whistle/horn or background sound effect is activated. A motor speed packet of zero indicates a stop condition. Any of the sound unit's decoder functions allow sound, motor control or special effects functions to be acted upon by the  
25 decoder at any random location around the model train setting and are not limited to predetermined locations. The sound effects may be, but are not limited to, the chuff sound of steam locomotives, blow down, air compressor pumps, generator, bell and whistle. The sounds  
30 which emanate from a diesel locomotive: motor throb, turbo charger, dynamic brake grid, air release, bell and horn may also be stored on the analog sound memory chip.

The motor control aspects of the sound decoder



change the speed and direction of the model locomotive based upon information contained within the decoded digital packets. The speed resolution may be expressed as a number of steps which a model locomotive takes to achieve maximum speed from a full stop. A preferred embodiment uses a digital format prescribed by the National Model Railroad Association which allows for three different speed resolutions: 14, 28, and 128 speed steps. The greater the number of speed steps in a given resolution, the more precise the motor control will be. The motor control aspects of the sound decoder may act directly upon a properly decoded digital packet and then translate the information contained within the packet into an appropriate speed and direction. Alternately, several registers of the serial EEPROM that the micro-controller can access known as "Control Variables" may be used to modify the information contained within the decoded digital packet prior to the translation into an appropriate speed, direction or for motor noise snubbing for the purpose of motor control. These registers may be fixed in firmware or programmable by the hobbyist. Some examples of these Control Variables, but not limited to, can include acceleration, deceleration, start voltages, motor response curves and motor noise snubbing. These Control Variables allow an end user to tailor a model locomotive's motor operation characteristics to personal preferences, often enhancing the operation of the device.

Certain Control Variables are also reserved for use by the sound aspects of the device. These Control Variables may be fixed in firmware or alternatively programmable by the hobbyist. These Control Variables allow an end user to tailor a model locomotives's sound aspects to personal preferences often enhancing the

operation of the device. By utilizing this particular feature, momentum effects may be replicated using steam or diesel sound effects or the volume adjusted remotely from the hand controller. In addition to the sound and motor control aspects, special effects may be controlled. These may be, but are not limited to, lights, different flasher beacons and smoke effects. Each of the sound unit aspects that may be controlled by the model train enthusiast are addressed by specific groups of digital packets for specific sound units. In other words, any of the sounds or types of movement which a real locomotive make are now possible in the model world. The previously mentioned sounds and control of the model locomotive's propulsion may be executed in combinations or in a prescribed method of preference.

The first step in creating the sound effects for the present invention is to record the actual sounds of the animals, sound effects, steam or diesel locomotives. These sounds are mastered and edited for use in either configuration of the present invention. The sound effects that are used in the asynchronous sound module are then simply recorded onto the chip for recall using the enabling means of the Hall effect sensor or other types of sensors. In the Digital Command Control Configuration, the recording of the sound effects is accomplished by recording all necessary sound effects from a specific type of the actual locomotive, whether diesel or steam. When a specific diesel locomotive's sound characteristics are recorded and paired to a matching model, the distinct sound characteristics are carried over to the model setting, giving a unique sound to each locomotive. However, steam locomotives vary in driver wheel arrangements and physical size. These two things

determine their sound characteristics, so varying the steam locomotive types recorded will give each steam locomotive a distinct sound. So recording the different manufacturers' and manufacturers' models gives the hobbyist the ability to pair the correct motor sound to its model instead of simply a generic sound as previously offered. In the Digital Command Control configuration, the recording of the sound effects are accomplished by recording all necessary sound effects from a specific type of the actual locomotive for use with a model of the actual locomotive or within a given actual manufacturer's family of locomotives whether diesel or steam. These sound effects are then mastered, and their location in the sound memory is established. Their recall is then accomplished in the Digital Command Control embodiment of the present invention through the following steps using a steam locomotive as an example.

The chuff sound effects of a steam locomotive need to be synchronized with the movement of the steam locomotive's driver wheels. One method to accomplish this task is to utilize the properly decoded digital speed control packets to control a simulated chuff and exhaust sequence which corresponds to the speed of the locomotive. Rather than simply using one generic steam chuff, the chuff of the present invention uses multiple speed recordings of an actual locomotive. These recordings are used at similar speed intervals on the model locomotive so, as the speed increases, there is a change in the frequency and amplitude of the chuff. This is in contrast to the operation of previous sound units for use with model trains which simply reproduced a single chuff sample at different speeds by increasing or decreasing the exhaust and chuff intervals according to

the voltage applied to the track to set the speed of a model locomotive. Instead of the track voltage controlling a single chuff sample, the chuff on/off rate in the present device could use the DCC signal and the following alternate methods. The single chuff sample would change speed through the use of a variable oscillator controlled by the speed packets producing a corresponding speed sound effect. Or, using a single chuff whose rate is controlled by software stretching or reduce the amount of exhaust between chuffs as done in digital sound editing software. Using the previous two examples, there can be many deviations to the present invention using single or multiple samples to reproduce a corresponding sound effect for recall.

The analog samples made for the chuff sound effects may also be made for the locomotive's air brake pump to simulate different work loads. For example, when a steam locomotive is at rest, there are various hissing sounds, and the air pump cycles at a slow rate. However, when the speed of the locomotive increases, the hissing sounds change to a chuff sound. As previously mentioned, the chuff sounds increase in frequency and amplitude in the present invention. As the chuff changes, the air pump cycles increase in frequency as well. These same digital packets which contain the information to select an appropriate locomotive sound effect based upon speed packets are also simultaneously used to select the appropriate motor speed for control of both aspects.

In addition to the chuff sounds and air pump, the steam blow down that is a result of stopping a steam locomotive may be represented. This sound effect may once again be an automatic function of the sound unit or under the control of the model train enthusiast. In the

automatic mode of control, this type of sound effect is typically triggered by the absence of a properly decoded digital packet. This also can occur upon power-up, during a reset condition, or the decoder sensing a zero speed packet for a stop condition. The other use for this type of effect is to indicate that the broadcasting device is not sending the proper packets. In the case of a stop condition, the blow down effect is triggered for a fixed interval of time and then turns itself off. To activate the effect, a suitably addressed control packet is activated by the hobbyist, and the blow down effect will activate and remain on until the sound effect is switched off. The additional sound effects of the bell and whistle are manually operated by the model train enthusiast. These sound effects are operated in a similar fashion for an actual locomotive, where the bell and whistle have no predetermined sequence of operation, and as long as the button for each sound effect is pressed, it will continue to play. However, the bell and whistle/horn may utilize a programmed sequence for often used whistle/horn and bell signals. These same types of control methods used for a steam locomotive can be applied to a diesel locomotive as well. The hobbyist also has the option to mute the chuff/motor sound effects by using a function button on the hand controller. This feature, when activated, allows the model train enthusiast to still activate the bell and whistle/horn sound.

An alternate means to synchronize the speed of the chuff is to employ a mechanical, magnetic or electro-mechanical device to allow the micro-controller to sense the revolutions of the locomotive drive axles. A preferred embodiment of the alternate means to synchronize the sound effects to the speed of the model

record the at least one additional characteristic sound directly to the sound module means by the hobbyist.

In another embodiment of the present invention, a model railroad car system is provided including a plurality of cars, at least one of the plurality of cars capable of producing simulated sounds. The system has a power source providing power to the plurality of cars and means for producing sound connected to the power source. The means for producing sound is capable of recording and playing back sounds. An asynchronous activation means is constructed and arranged to provide an enable signal to the means for producing sound resulting in playing back one of the sounds.

In an embodiment, the activation means of the model railroad car system is a magnetically responsive sensor constructed and arranged near a magnetic field wherein the magnetic field may be altered by a magnet.

In an embodiment, the method further has the steps of providing a magnetic source; creating a magnetic field; and providing a magnetic responsive sensing means responsive to changes in the magnetic field to thereby generate the signal.

Another application for the alternate embodiment is a sound reproducing device wherein a microphone may be connected to a consumer device for the home, such as a doorbell or audio-type message pad.

It is, therefore, an advantage of the present invention to create a modular sound recall and play back circuit to adapt to a variety of applications for producing sound.

Still further, an advantage of the present invention is to provide a system and a method to internally activate audio in the first embodiment without the need

to externally trigger the at least one sound.

And, another advantage of the present invention is to provide a system and a method to trigger or activate the sound module using the same circuit design.

5 Another advantage of the present invention is it will decode multiple broadcasted digital packets of which one will match the sound units preset address.

10 Another advantage of the present invention is that it may see one match for the sound unit's preset address and may activate an appropriate sound, light or other special effect or institute changes upon motor speed or direction based upon the information contained within the digitally addressed and decoded broadcasted packets.

15 Another advantage is that each model train operator has independent control of the following functions of their particular sound unit: all sound functions, model train motor control, and on-board special effects.

20 A further advantage is the sound effects may be synchronous using the speed packets to determine a speed sound effect or asynchronous if a bell, whistle/horn or background sound effect is activated for the decoded digital packet.

25 Another advantage of the present invention is the use of multiple sound samples to emulate the change in speed and work load.

Another advantage is automatic modes of control for specific sound effects.

30 Another advantage of the present invention is any of the sound unit's decoder functions, i.e. sound, motor control or special effects functions, may be acted upon by the decoder at any random location around the model train setting and are not limited to predetermined locations.

Another advantage of the invention is the motor control and sound aspects of the sound decoder may be simultaneously acted upon directly when a properly decoded digital packet is translated for the information contained within the packet into an appropriate speed and direction.

Another advantage is the hobbyist has registers in a serial EEPROM that the micro-controller can access known as "Control Variables" that may be used to modify the information contained within the decoded digital packet to tailor operation to their tastes in the areas of speed, direction, motor noise snubbing and sound functions.

Another advantage is the choice between a menu of whistle/horn or interactive play-back of these types of sound effects.

Another advantage of the present invention is the ability to digitally synchronize sound effects and the speed of the locomotive.

Another advantage of the present invention is to remotely mute or adjust the volume to suit personal preferences.

A further advantage of the present invention is its ability to operate with different Digital Command Control systems that make use of the NMRA packet format.

These and other advantages of the present invention are described in, and will be apparent from, the detailed description of the presently preferred embodiments and from the drawings.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 illustrates a side view of a model railroad car embodying the components required for reproducing sounds with the principles of the first embodiment of the



locomotive is to use a Hall effect sensor to sense the rotation of the steam locomotive's drive wheels. This may be accomplished by placing a magnetic strip on the rear of a drive wheel. When the Hall effect senses a change in the magnetic field, it prompts the playback of a chuff sound. The chuff sound effect played back is determined by two factors: the first is the change in the magnetic field to determine the rate of play back, and the other factor is the digital speed packet determining the proper speed sound effect played back from the samples of the different speeds recorded and contained within the analog or digital sound memory.

To this end, in an embodiment, a system is provided for playing back pre-recorded audio and for recording additional sounds by the hobbyist and playing back all recorded sounds. The system has a power source and a sound module means having at least one characteristic sound recorded thereon and operatively connected to the power source. An asynchronous enabling means activates the playback of the at least one characteristic sound from the sound module means. The enabling means actuates the playback upon occurrence of a condition thereby providing a signal to the sound module means.

In an embodiment, the enabling means is a Hall-effect sensor responding to a change in a magnetic field. Further, the system further has a magnet and a pendulum on which the magnet is suspended wherein motion causes the magnet to transpose resulting in the change in the magnetic field.

In an embodiment, the system further has an expanded memory operatively connected to the sound module means.

In an embodiment, the system further has a microphone constructed and arranged for the hobbyist to

present invention.

FIG. 2 illustrates a top plan view of an embodiment of the components mounted on a circuit board creating a base of a model railroad car, the components embodying the principles of the present invention for reproducing simulated sounds.

FIG. 3 illustrates a side view of an embodiment of a magnet supported by a pendulum over a sensor for the present invention.

FIG. 4 illustrates a block diagram of an embodiment of the components necessary for implementing the system and method of the present invention.

FIG. 5 illustrates a circuit diagram of an embodiment of a portion of the components for generating sounds in response to an enable signal for the system and the method of the present invention.

FIG. 6 illustrates a circuit diagram of an embodiment of recording circuitry for the present invention.

FIG. 7 illustrates in schematic diagram form of the components contained on the first of two printed circuit boards in the original configuration.

FIG. 8 illustrates, in schematic diagram form, the components contained on the second of two printed circuit boards in the original configuration.

FIG. 9 illustrates in, schematic diagram form, the components contained on the second of two printed circuit boards in an alternate configuration.

FIG. 10 illustrates a graphical representation of a Digital Command Control (DCC) baseline packet.

FIGS. 11-20 illustrate, in flow chart form, the operation of the micro-controller software.

**DETAILED DESCRIPTION OF THE PRESENTLY  
PREFERRED EMBODIMENTS**

Referring now to the drawings for an embodiment of the present invention, FIGS. 1 and 2 illustrate assembly views of a model railroad car encompassing one embodiment of the present invention. As illustrated in FIG. 1, an exemplary model train car 1 is shown. The model train car 1 includes a platform or base 10 operatively connected to a plurality of wheels 12 as is generally known. The base 10 includes a printed circuit (PC) board for connection of electrical components thereon. Although not illustrated, the electrical components hereinafter described are typically enclosed within a housing such that the model train car 1 encloses the components and provides a decorative appearance in its ordinary usage.

The base 10 includes a PC board for electrical connection of components thereon. One of these components is an analog sound storage processing chip 14 manufactured by, for example, Information Storage Devices (ISD). The processing chip 14 is provided with DAST™ analog memory for storage following recording of various sounds recorded thereon or for subsequent reproduction of the recorded sounds. Connected to the processing chip 14 is an audio amplifier 16 and a sensor 18, such as a Hall-effect sensor as illustrated in FIGS. 1 and 2 having a northern polarity. Of course, other sensors or activators may be implemented. The DAST™ analog storage chip 14 also includes an internal microphone amplifier 38 (FIG. 4) external to or built into the chip 14. The microphone 38 enables recording of additional desired sounds on the chip 14.

An integral part of the sensor 18 includes a non-conductive and non-magnetic pendulum 24 and a magnet 26

suspended above the Hall-effect sensor 18 by a hanger 25. A battery 28, such as a standard nine volt battery, is provided as power for the system. An on-off switch 30 is further provided to activate the system. A regulator 32  
5 is provided to provide five volts of power from the nine volt battery source. Further, a potentiometer 34 is provided to regulate the volume level.

The details of the sensor 18 are more clearly illustrated with reference to FIG. 3. As illustrated in  
10 FIG. 3, the sensor 18 includes the hanger 25 supporting the pendulum 24 which swings freely on the hanger 25 with a magnet 26 at the distal end of the pendulum 24 opposite the connection of the hanger 25 to the pendulum 24. The pendulum 24 and the hanger 25 are, in a preferred  
15 embodiment, a non-magnetic, non-conducting wire armature. The hanger 25 is mounted to the PC board 10 as illustrated. The magnet 26 swings freely above the Hall-effect sensor 18.

The Hall-effect sensor 18 is operable to produce an  
20 enable signal when the object, such as the model train car 1, begins to move. When the movement ceases, the switch remains open until a forward action or a reverse action takes place. The polarity of the magnet 26 and the Hall-effect sensor 18 must match to induce a closure of  
25 the switch. That is, the Hall-effect sensor 18 and the magnet 26 must be in alignment. In addition, since the wire armature is constructed of a non-magnetic material, the suspended magnet 26 remains centered above the Hall-effect sensor 18. Therefore, movement in the system  
30 creates a disturbance between the Hall-effect sensor 18 and the suspended magnet 26 on the pendulum 24 resulting in production of the enable signal sent to the processing chip 14 to play back the sound recorded thereon.

FIG. 4 illustrates a diagram of the components in black box format and in schematic diagrams in FIGS. 5 and 6.

Referring to FIG. 4, the power source 28 is  
5 activated either on or off by the switch 30. When power is provided to the system, the voltage regulator 32 limits the voltage to the analog processing chip 14 to five volts. The sound module DAST™ analog processing chip 14 is activated by the sensor 18 as previously  
10 discussed. The sensor 18 may be a Hall-effect sensor 18.

When any of the sensors or switches are activated, the sound module DAST™ analog chip 14 produces an output which is amplified through the audio amplifier 16 and subsequently passed through the compander 20 and finally  
15 to the speaker 22 as an audio output. The sound module analog storage chip 14 further includes internal memory for storing of particular sounds that may be supplemented with additional memory as illustrated at 36 in FIG. 4. The additional memory 36 allows for additional sounds and  
20 greater lengths of time for recording sounds on the sound module analog processing chip 14. An external microphone 38 may be connected as an input for recording of sounds on the chip 14. Alternatively, the DAST™ analog chip 14 is provided with a built-in microphone for recording  
25 of sounds thereon.

The present invention will be described with reference to a livestock sound module used with a model railroad car which plays pre-recorded messages when activated, although it should be understood that any  
30 environment requiring playback of sound may implement the sound reproducing and recording system of the present invention. Up to six basic components or sections may be implemented to perform the features embodied by the

principles of the present invention.

5 The first section is the power supply previously described. The power supply when used with a model railroad car may run off of track voltage wherein the power is input to a full-wave bridge rectifier and a capacitor acting as a filter. The output is then connected to a voltage regulator. The nine volt DC input from, for example, a nine volt DC battery, is tied in at a node through a diode. If a nine volt battery is used in conjunction with the track power, the battery acts as a low voltage backup keeping the module voltage up when the track voltage drops off or shuts off. Power is switched to the module via the SPST switch.

10 The second section of the present invention is the DAST™ analog sound effects chip and audio expander. The DAST™ analog sound effects chip is capable of storing between twelve seconds and 120 seconds of analog data in a non-volatile analog memory. Various audio messages can be programmed into the sound effects chip. The library messages are stored on, in a preferred embodiment, a digital audio tape. When the messages are programmed, the analog audio signal is played back at a pre-recorded level and sent through a compressor. A compander is used in the present invention which reduces the dynamic range of the signal before it is recorded into the chip. When the sound effects are played back from the chip, they are played back through an audio expander. The expansion does two things: the audio is expanded and the signal is restored to its original dynamic range; and when the audio is expanded, low-level audio noise in the system is attenuated giving an improved signal-to-noise ratio.

30 The third section of the circuit is the audio amplifier. In a preferred embodiment, the amplifier is

an LM386N-1. The output of the audio amplifier is capacitively coupled to a volume potentiometer. The wiper of the potentiometer is the input of the amplifier. The output of the amplifier is capacitively coupled and connected to a speaker.

The fourth section of the circuit is the message activation or chip enabling section of the circuit. Pin 23 of the sound effects chip is the chip enable. Chip enable is an active low signal, and the pin is pulled up with a resistor and a decoupling capacitor in parallel. The configuration of the device initiates the message inside the chip to be played by pulling of the pin to ground. The message plays once unless the pin is held low. If held low, the message continues to repeat until the pin is allowed to get pulled to high.

The pin can be activated several ways as previously set forth. A Hall-effect sensor below a suspended magnet may be implemented in a preferred embodiment. When a train car travels along or is jarred on a track, the change in the magnetic field from the magnet swaying causes the Hall-effect sensor to activate and give a momentary pull to ground thereby initiating the chip. Therefore, the present invention is activated by inertia-sensitive control.

The fifth section of the present invention is the option of recording custom messages. The chip has a built-in microphone amplifier that can be used to record audio data. This is controlled by the state of the playback/record pin. When held low, the chip is then put into record mode and will record audio as long as the chip enable is held low. Alternatively, an external microphone may be implemented for recording on the chip.

Referring to FIG. 6, two microphone inputs to the

ISD device, MIC (pin 17) and MIC REF (pin 18) are illustrated. The two pins are differential inputs to an on-chip microphone preamplifier. A non-biased microphone can be connected directly across the two inputs. A 470  
5 kOhm resistor, connected parallel to a 4.7 MF capacitor is placed across the automatic gain control AGC input (pin 19). These two components set up the attack and release time constant for the internal AGC circuit inside the chip. The AGC circuit controls the gain of the  
10 microphone preamplifier built inside the chip.

To record a new message on the chip, two pins on the chip are controlled, /Chip Enable and Playback/Record. /Chip Enable controls the start of both the record and play cycles. The level of the Playback/Record pin will  
15 determine whether a new message is to be recorded or the saved message played back. Pin 27 (P/R) is normally held high and messages play back as long as chip enable (/CE pin 23) is held low. If P/R is pulled to ground and then /CE is pulled low, the chip is then automatically placed  
20 into record mode and records the analog signals in real time picked up by the microphone. Recording stops when /CE is brought high. As previously mentioned, by controlling the address or logic level, the location of the new message can be controlled such that it will not  
25 record over previous audio.

Due to the limited space available within model train locomotives and cars, the present configuration uses two narrow elongated printed circuit boards (PCB's) stacked upon each other on which the electronic  
30 components are mounted in this embodiment. The circuit boards are electrically interconnected by means of a multi-pin plug on the upper PCB and a mating socket on the lower PCB.



FIG. 7 illustrates in schematic form the components mounted on the upper PCB. The micro-controller, IC1 section 101 contains and executes the software program required for this invention. The present configuration of this invention uses a Part. No. Z86E08-08PSC from Zilog, Inc., Campbell CA. The other components mounted on the upper PCB are the micro-controller oscillator 102, IC2 103, a Part No. 93C56A serial electrically erasable read only memory from National Semiconductor, Inc., Santa Clara, CA; the function #0 and #1 output transistors and connector section 106; the motor drive transistors and filter network section 107; the DCC digital input signal conditioning components section 108; the power supply section 109; the electrical plug to the lower PCB 110; a shift register 111; and a Hall effect sensor section 112.

FIG. 8 illustrates in schematic form the components mounted on the lower PCB in the present configuration. The direct analog storage, DAST<sup>TM</sup>, integrated circuit, IC5, and associated decoupling capacitors section 201. The present configuration of this invention uses a Part No. ISD1020A for IC5 from Information Storage Devices, Inc., San Jose, California. The other components mounted on the lower PCB are the mating socket to the upper PCB section 203; an audio compander circuit section 204; an audio amplifier circuit with volume control section 205; and a Power Down/Reset Circuit section 206.

Referring now to FIG. 7, operation of the upper PCB of the present invention will be described. A bi-polar digital signal of sufficient voltage and current is attached to J2 section 109 and the jumpers on J4 section 108 and J5 section 108 are placed between pins 2 and 3 on each. In this configuration, the power source is also the

digital signal; a common example being "DCC", a protocol of the National Model Railroading Association (NMRA). This is the configuration used when the present invention is installed in a model railroad locomotive or car as J2 section 109 may be wired to the track using mechanical electrical pickups on the wheels.

An alternate configuration uses a separate power source between 14 to 24 volts AC or DC which is connected to J2 section 109 on the upper PCB, and the jumpers set on J4 section 108 and J5 section 108 are placed between pins 1 and 2 on each while a bi-polar digital signal is attached to J3 section 108.

In either configuration, the unregulated AC, DC, or bi-polar DC power source passes through fuses F1 and F2 section 109. These fuses protect both legs of the power source and, to some degree, protect from shorts, overloads, or other faults involving the present invention or associated field wiring. The power source is then passed through a bridge rectifier (BR1) section 109 to two voltage regulators, VR1 (MC7812CT) 109 and VR2 (MC7805CT) section 109 to associated filter and decoupling capacitors. A heat sink is attached to VR1 and VR2 section 109.

The result is three power supply potentials consisting of a "V+" unregulated output for sourcing the special effects outputs, motor control of a regulated "+12vdc" to power the audio amplifier circuitry, and a regulated "+5vdc" to power the logic circuitry.

The digital signal whether input through J3 section 108 or J2 section 109 is half-wave rectified by D1 section 108, current limited by R3 108, and is annunciated by LED 1 section 108. It then enters a Schmitt trigger opto-isolator, (OPTO) section 108. The

opto-isolator provides a safety layer of isolation between the present and external situations. The Schmitt trigger aspect protects from data errors due to low level digital noise. The digital signal exits the opto-isolator in an inverted state and enters a micro-controller (IC1) through the Input No. 2 line section 101.

The micro-controller's speed is set by a Crystal (XTAL 1) 102 and an on board oscillator.

There are several output lines associated with the micro-controller section 101. Two of the lines, output 10 and output 9, are connected to the gates of driver MOSFET transistors, Q1 and Q2 section 106, which are open drain, active low auxiliary outputs; function No. 0 and function No. 1 (F0 and F1) section 106. The transistors have current limiting resistors R1 and R2 section 106 connected to the drain-source path, in series with the load. The current limiting resistors' values are selected according to the load(s). In a typical model railroading application, Q1 is connected to a flashing LED beacon or similar device and is controlled as F1. Q2 is connected to the locomotive headlight and is controlled as F0. The use of F0 as head lamp control is based upon the NMRA DCC standard; however the function outputs can be re-configured for different loads and control assignments.

Output lines 1-4 section 105 are connected to the gates of driver MOSFET transistors, Q3-Q6 arranged in an H-bridge configuration section 107 for pulse width modulated bi-directional control of a DC motor. A controllable filter network is connected across the DC motor for the modification of motor drive wave shapes for the suppression of undesirable audible noise section 107.

Output lines 5-8 section 104 are connected to the serial EEPROM section 103 and a shift register section 111. The serial EEPROM contains many memory registers which contain information that is used to define various operating characteristics of the invention. Most of these registers are defined by the NMRA and are termed Configuration Variables (CV or CV's). Some of the registers are set aside for application specific uses defined by the manufacturer. Most of the CV's can be altered by the hobbyist through programming. The digital address of the sound effect to be played is loaded by the micro-controller into the shift register section 111.

Output line 11 and input line 3 on the micro-controller section 101 are connected to the multi-pin plug section 111 which routes signals to the lower PCB.

Now refer to FIG. 8 to understand the operation of the lower PCB of the present invention.

The DAST™ chip (sound effect chip), IC5 section 201 is the first section of the circuit component on lower PCB. The DAST™ chip is capable of storing between twelve seconds and 120 seconds of analog data in a non-volatile memory. Various audio sound effects can be programmed into the DAST™ chip. The location of the various sound effects in the DAST™ chip are assigned by setting the appropriate bits on the DAST™ chip's address inputs. At the time of recording, these address locations may be set by some type of development system. During playback, the address locations are set by the micro-controller, IC1 section 101.

A compander circuit is used in the present invention which reduces the dynamic range of the signal before it is recorded into the chip. When the sound effects are played back from the chip, they are played back through

an audio compander section 204. The expansion does two things: the audio is expanded and the signal is restored to its original dynamic range; and when the audio is expanded, low-level audio noise in the system is attenuated giving an improved signal-to-noise ratio.

The third section of the circuit is the audio amplifier. In a preferred embodiment, the amplifier is an LM386N (IC4) section 205. The output of the audio expander is capacitively coupled to a volume potentiometer. The wiper of the potentiometer is the input of the amplifier. The output of the amplifier is capacitively coupled and connected to a speaker.

The fourth section of the circuit is the sound effect activation or chip enabling section of the circuit. One pin of the DAST<sup>TM</sup> integrated circuit (IC5) section 201 is the chip enable. Chip enable (/ce) is an active low signal, and the pin is pulled up with a resistor. Chip enable is connected with output line 11 on the micro-controller. Sound effect playback is initiated by loading the appropriate address bits into the shift register section 111 on the upper PCB and then bringing chip enable low. Typically, for playback of a single sound effect, /ce is brought high after sound effect playback begins. If playback of consecutive sound effects is desired, /ce is left low. At the end of each sound effect, a signal is generated on another pin of the DAST<sup>TM</sup> chip (IC5) called End of Message (/eom) (active low). /eom is connected to input line No. 3 of the micro-controller section 101 through socket J7 section 203 and J1 section 110. If it is desired to repeat a sound effect, either with spaced repetition or with seamless looping, /eom is monitored to mark the end of the current sound effect being played allowing the micro-

controller to precisely control repetition or looping.

PNP transistors Q3 and Q4 206 have their bases connected to A6 and A7, respectively, and their collectors are tied to ground. The open emitters of Q3 and Q4 are connected to a pin of the DAST™ chip (IC5) section 201 which is labeled Power Down, an active high input. Power Down is connected to a pull up resistor (R14) section 206 and a decoupling capacitor (C18) section 206. When A6 and A7 are both high, Power Down goes high and the DAST™ chip (IC5) is taken into a standby state and reset. This is useful if the DAST™ chip (IC5) should ever become errant in operation or if it is desirable to interrupt a sound effect being played before it has reached completion.

Now refer to Fig. 9 in order to understand the operation of the lower PCB of the present invention in an alternate configuration.

A digital synthesizer integrated circuit IC6 in area section 301 is now used for the production of sound effects. The present invention uses a Part No. YM3812 sound generator from Yamaha Systems Technology; San Jose, CA for IC6. Sound effects are created by alternately loading address and data information into lines D1-D8 on IC6 301. The alternating action is controlled by a flip-flop section 306. A digital to analog converter (DAC) section 304 is used to change the digital outputs of IC6 301 into varying voltages, which are the sounds. In the present invention, a Part No. YM3014 from Yamaha Systems Technology, San Jose, CA is used for the DAC. The output of the DAC feeds into a unity gain buffer section 305. The output of the buffer feeds into a low pass filter section 307 before reaching the volume control potentiometer R11 which is part of the audio

amplifier circuit section 305. In the present configuration, the amplifier is an LM386N (IC4) section 305 from National Semiconductor, Inc., Santa Clara, CA. The wiper of the potentiometer is the input of the amplifier.  
5 The output of the amplifier is capacitively coupled and connected to a speaker.

Now a detailed explanation of the software operation will be given. Refer now, additionally, to FIGS. 11-20.

Beginning at <START> section 501, the micro-  
10 controller section 101 is initialized in section 502. The appropriate lines are configured as either input or output. Initial values are loaded into specified registers of the micro-controller section 101. One important value is the address which is loaded section  
15 503 from the serial EEPROM section 103. The address determines which data transmissions are intended for the device to act upon. Input line No. 2 on the micro-controller section 101 then begins to receive transmitted data from the components in section 108. The present  
20 invention is configured to accept data transmissions based upon a digital protocol "Digital Command Control" DCC; a standard established and maintained by the National Model Railroad Association, Chattanooga, TN.

Refer now additionally to FIG. 10 to understand the  
25 form of the digital data transmissions. DCC data consists of bipolar transmissions of square wave pulses each containing two equal parts: one positive and one negative. The width or duration of the pulse determines if it will be interpreted as a digital "0" bit or a  
30 digital "1" bit. A digital "1" bit in a DCC transmission has a nominal duration of 58 microseconds for each of its two part, section 402. A digital "0" bit in a DCC transmission will have a nominal duration of 100

microseconds for each of its two parts, section 403. A complete DCC transmission can contain a varied number of bytes and is termed a packet. The one chosen for example here is a DCC baseline packet section 401. A baseline packet contains four separate components, which are the preamble section 404, the address byte section 406, the instruction data byte section 408, and the error byte section 410.

Refer alternately to FIGS. 10 and 11 wherein section 504 of the software looks at the preamble part section 404 of the DCC transmission. It is distinguished as a minimum of 10 "1" bits followed by a "0" bit section 405. Once reception of the preamble is completed, the software will begin to receive the rest of the bytes in section 505. Next is the address byte section 406 which contains eight bits which can have a value of either "1" or "0" and is terminated by a digital "0" bit section 407. Next comes the instruction byte section 408 which also contains eight bits and is terminated by a "0" bit as well as section 409. The last byte in a baseline packet is the error byte section 410 which contains eight bits and is terminated by a "1" bit section 411. The "1" bit also signifies the termination of the packet.

Once a complete packet is received, the software then checks the validity of the data by performing an error check in section 506. The error check requires that the Exclusive-Or logical function be performed upon the address byte and the data byte. If the result of this operation matches the value of the error byte, the packet is valid. If the packet is rejected as invalid, the software loops back to section 504 to look for the next preamble.

If the data is deemed valid, it is first checked in



section 507 to see if this was a baseline idle packet. Idle packets are part of the DCC standard and are often used for time delays. If an idle packet is detected, the software loops back to section 504 to begin receiving the next preamble as no further action is required.

If the packet was found not to be an idle packet, several tests are performed to determine what action is to be taken based upon the data. In each case, a failed test causes a branch to the next test.

Beginning with test section 508, if it is determined, this data is intended for any and all devices receiving the data; or as termed by the DCC standard, a broadcast command. If it is, a branch is taken at section 515. At the completion of the branch, the software is at section 521 of FIG. 12. The broadcast command data is tested to see if an emergency stop command has been issued at section 522. If an emergency stop command is detected, the appropriate actions are taken to effect an emergency stop of the model train locomotive section 523. The software then branches at section 524 back to FIG. 11 at section 514 to begin receiving a new preamble. If the broadcast command is not an emergency stop command, it is then tested to see if the present invention should be reset at section 525, termed a decoder reset by the DCC standard. If a decoder reset command has been received, the decoder is reset in section 526. The software then branches at section 527 back to FIG. 11 at section 514 to begin receiving a new preamble. If the broadcast command is not a decoder reset command, then it may be a future command which may be handled in section 528 with the appropriate action being taken. The software then branches at section 529 back to FIG. 11 at section 514 to begin receiving a new

preamble.

Referring now to FIG. 11 at section 509, if the received data is not a broadcast command, it tests to see if it is a utility instruction for the decoder or consists of an instruction for the grouping of model train locomotives. If it is, a branch is taken at section 516 to FIG. 13 at section 530. The data is tested in section 531 if a decoder instruction is intended. If it is, the specific decoder instruction is executed in Fig. 13 at section 532. The software is then branched at section 533 back to FIG. 11 at section 514 to begin receiving a new preamble. If the data is not a decoder instruction, it may be a consist instruction. If it is, several possible actions can be taken in Fig. 13 at section 535 to allow two or more model train locomotives to be grouped together and function in actual operation as one. Once the consist instruction has been completed, or if the data does not contain a consist instruction, a branch is taken at section 536 or section 537 back to FIG. 11 at section 514 to begin receiving a new preamble.

Now referring to FIG. 11 at section 510, if the received data is not a decoder or consist instruction, it is tested to see if it contains advanced operations information. If it does, a branch is taken at section 517 to FIG. 14 at section 538. In section 539, the address information contained within the received data is compared to the pre-programmed address of the present invention. If the addresses do not match, it would be known that the information was intended for some other device. The software then branches at section 540 back to FIG. 11 at section 514 to begin receiving a new preamble. If the addresses match, it is known that the information

contained within the advanced operations packet is intended for this device. Advanced operations is the means by which the DCC transmits speed data and direction when 128 step speed resolution is in place. Speed resolution may be explained as the maximum speed divided by the number of speed steps. If a model train locomotive has a maximum scale speed of 64 MPH and 128 step speed resolution is in place, each speed step is equal to a  $\frac{1}{2}$  MPH increment. This is considered fine resolution. The speed and direction information is extracted from the data in sections 541 and 542, respectively. There are several sound effects to cover the operational speed range of a model train locomotive, whether steam or diesel type. This allows the sounds generated to closely correlate with the speed at which a model train locomotive is traveling for realistic operation. There are not, however, sufficient sound effects to provide for a 1-to-1 ratio between speed steps and sound effects. The end user is then able to program certain configuration variable memory registers defined by the manufacturer and contained within the serial EEPROM FIG. 7 at section 103. These configuration variables then determine when a change is made from one sound effect to another over the span of a given speed step resolution. These change divisions are termed break points and are set based upon 128 speed step resolutions in section 543 of FIG. 14. The software then branches to section 544 of FIG. 14 to FIG. 19 at section 578. Further detail is offered later on FIG. 19.

Moving back to FIG. 11 at section 510, if the received data does not contain advanced operations information, it is tested to see if it is a baseline packet. If it is, the software branches at section 518

to FIG. 16 at section 550. In section 551, the address information contained within the received data is compared to the pre-programmed address of the present invention. If the addresses do not match, it is then known that the information was intended for some other device. The software then branches to section 552 back to FIG. 11 at section 514 to begin receiving a new preamble. If the addresses match, it is known that the information contained within the baseline packet is intended for this device. The baseline speed and direction information is extracted from the data in sections 553 and 554, respectively. The baseline packet can contain speed information in either 28 step medium or a 14 step coarse resolution. A configuration variable is checked to see which resolution is currently being used in section 555. If it is determined that a 28 speed step resolution is in effect at section 556, then the break points are set based upon 28 speed step resolution and the configuration variables reserved for break points at section 559. If it is determined that 14 speed step resolution is in effect, baseline head lamp data is extracted at section 557. Then, the break points are set based upon a 14 speed step resolution, and the configuration variables are reserved for break points at section 558. After the break points are set for either 14 or 28 speed steps, the software then branches at section 560 to FIG. 19 at section 578. Further detail will be offered later on FIG. 19.

Referring now back to FIG. 11 at section 512, if the received data is not baseline packet, it is tested to see if it is a Function Group #1 Packet. If it is, the software branches at section 519 to FIG. 17 at section 561. In section 562, the address information contained

within the received data is compared to the pre-programmed address of the present invention. If the addresses do not match, it would be known that the information was intended for some other device. The software then branches at section 563 back to FIG. 11 at section 514 to begin receiving a new preamble. If the addresses match, it is known that the information contained within the function Group #1 is intended for this device. The function Group #1 F0-F4 data is extracted in section 564. The data is then tested in section 565 to see if function #1 is on or if it should be off. If function #1 should be on, it is turned on in section 567. If function #1 should be off, it is turned on in section 566. Referring now to FIG. 16, when function #1 should be on, output line #10 on the micro-controller section 101 is brought to a digital "1" state. Output line #10 is connected to the gate of MOSFET transistor Q1 in section 106. If an external device is connected across pins 3 and 4 of J6, current flows through the external device, current limiting resistor R1, and MOSFET transistor Q1; hence, the device is on. When function #1 should be off, output line #10 on the micro-controller section 101 is brought to a digital "0" state. Current ceases flowing through the external device, current limiting resistor R1, and MOSFET transistor Q1; hence, the device is off. Q1, R1, and J6 are in section 106.

Referring back to FIG. 17, the next aspect of software deals with function #0. Function #0 is typically used to control a model train locomotive headlight. A configuration variable is checked to see if baseline operation is in effect in section 568. If it is, the previously extracted baseline head lamp data at 557 is

used at section 569 to determine at section 570 if  
function #0 should be On at section 571 or Off at section  
572. Referring now to FIG. 16, when function #0 should  
be on output line #9 on the micro-controller section 101  
5 is brought to a digital "1" state. Output line #9 is  
connected to the gate of MOSFET transistor Q2 in section  
106. If an external device is connected across pins 1  
and 2 of J6, current flows through the external device,  
current limiting resistor R2, and MOSFET transistor Q2;  
10 hence, the device is on. When function #0 should be off,  
output line #9 on the micro-controller section 101 is  
brought to a digital "0" state. Current ceases flowing  
through the external device, current limiting resistor  
R2, and MOSFET transistor Q2; hence, the device is off.  
15 Q2, R2, and J6 are in section 106. Referring back to  
FIG. 17, after the state of function #0 is set in  
sections 568-576, the software then branches at section  
577 to FIG. 19 at section 578.

Referring now to FIG. 19. at this point all of the  
20 data required to select a model train locomotive sound  
effect should have been received and processed. In  
section 579, an appropriate engine sound or other sound  
effect is loaded based upon the previously set  
breakpoints and received speed. In the case of a model  
25 train diesel locomotive, it is appropriate to imply that  
if a slow speed has been received, then an engine sound  
effect of a diesel generator at slow rpm's is selected.  
Conversely, if a fast speed has been received, an engine  
sound effect of a diesel generator at high rpm's is  
30 selected. If the present invention is used with a model  
train steam locomotive, varying speed discrete chuff  
sound effects are selected. If a model train diesel  
locomotive is stopped, an ultra-low RPM idle sound effect

is selected. If a model train steam locomotive is stopped, a gentle hissing sound is selected. If, at this point, all of the data required to select a model train locomotive sound effect has not been received and processed, a default sound effect is selected. In the case of a model train diesel locomotive, an air release sound effect is selected. In the case of a model train steam locomotive, a steam release sound effect is selected.

Once an engine speed sound effect has been selected, it is compared with the previously selected engine speed sound effect section 580. If the most recently selected sound effect is a higher and faster sound effect, a transitional acceleration sound effect is selected first at section 581. The status of function #4 mute, from the previously received function group #1 is now checked at 582. If function #4 mute is active, the selected engine speed or acceleration sound effect is loaded at 583. If function #4 mute is inactive, the software continues without loading an engine speed or acceleration sound effect. Whether or not a speed or acceleration sound effect is loaded, the software continues forward to see if higher priority sound effects should be played. Next, function #3 from function group #1 is now checked at section 584. If function #3 is active, the bell sound effect is loaded at section 585. If function #3 is inactive, the software will continue without loading the bell sound effect. Next, function #2 from function group #1 is now checked at section 586. If function #2 is active, a further test is conducted to see if this is the first time function #2 has been found to be active at section 587. If this is the first time function #2 is found to be active, the first horn or whistle sound

effect is loaded for model train diesel or steam locomotives, respectively, at section 589. If the second time function #2 is found to be active, the second horn or whistle sound effect is loaded for model train diesel or steam locomotives, respectively, at section 588. Through concatenation, the model train enthusiast can create realistic horn and whistle cadences. A test is now performed to see if a steam engine speed effect has been loaded at section 590. If it is not, the last loaded sound effect is now played at section 592. If no sound effects have been loaded, no sound effects are played. This would indicate that functions #2, #3, and #4 are inactive thereby preventing the loading of the horn, whistle, bell, and engine speed sound effects, respectively. If the loaded sound effect is found to be a steam engine speed sound effect in section 590, a further test is performed to see if a Hall-effect wheel sync device in FIG. 7 at section 112 is in place at section 591. If it is not, the steam engine speed sound effect is played at section 592. If a sync device is in place, the steam engine speed sound effect is played upon the receipt of a sync pulse. More than one sound effect generating integrated circuit can be used in order to play multiple sounds at one time. After playing any loaded sound effect, the software then branches to section 594.

Referring now to FIG. 20 at section 601 where the control of the model train locomotive motor begins, model train locomotives typically contain a multi-pole, permanent magnet, low-voltage motor. In the case of the present invention, pulse width modulation is used to vary the speed and direction of the motor. The previously received speed and direction data is now loaded at



section 602. There are several configuration variables which can influence motor characteristics. Some examples of these control variables, but not limited to, can include acceleration, deceleration, start voltages, motor response curves and noise snubbing. These control variables allow an end user to tailor a model locomotive's motor operation characteristics to personal preferences often enhancing the operation of the device. These configuration variables are loaded at section 603. The loaded speed and direction data are now modified with data from the configuration variables at section 604.

Referring now to FIG. 7 at section 107, MOSFET transistors Q3, Q4, Q5, and Q6 have their gates connected to the micro-controller at section 101 output lines 1-4 at section 105, respectively. If output lines 1 and 2 are brought to a digital "1" state and output lines 3 and 4 are at a digital "0" state, current flows through transistors Q3 and Q4 causing the motor to turn at a speed in proportion to the amount of time that the transistors are switched on. Full speed indicates that the transistors are switched on all the time. If output lines 3 and 4 are brought to a digital "1" state and output lines 1 and 2 are at a digital "0" state, current flows through transistors Q5 and Q6 causing the motor to turn at a speed in proportion to the amount of time that the transistors are switched on in the opposite direction. The controllable filter network helps reduce physical vibrations created in the motor armatures due to the sharp rise time of the pulses. Referring back to FIG. 20, the motor control aspects are contained within section 605. The software branches at section 606 back to FIG. 11 at section 514 to begin receiving a new preamble.

Referring now to FIG. 18 at section 595, this is the

entry point for an interrupt routine 596. As the word interrupt implies, there is not a particular branch to this routine. Whenever a sound effect nears the end of playback, a signal is generated. This signal triggers an interrupt and causes an immediate branch to this routine. This signal is then monitored at section 597 until the sound effect has completed playback. Once completed, the sound effect is checked to see if it should be repeated or looped at section 598. If it should be looped, the sound effect is replayed at section 599. Once the sound effect is replayed or allowed to lapse, the software then returns to the point of the original branch at section 600.

Referring now to FIG. 11 at section 513, numerous configuration variables are contained within the present invention. These configuration variables are pre-programmed by the manufacturer with values which would be acceptable to many end users. However, some model train enthusiasts may desire to alter some or all of these configuration variables to enhance operation based upon unique installations. Section 513 checks to see if a received data packet is intended to alter configuration variables. If it is, a branch at section 520 is taken to FIG. 15 at section 545. In section 546, the configuration variable address is extracted. In section 547, the configuration variable data is written. In section 548, an acknowledgment is issued. An acknowledgment may consist of a motor lurch, a light flash or both. The acknowledgment gives the end user an indication that the programming has been accomplished. The software branches at section 549 back to FIG. 11 at section 514 to begin receiving a new preamble.

It should be understood that various changes and

modifications to the presently preferred embodiments described herein will be apparent to those skilled in the art. Such changes and modifications may be made without departing from the spirit and scope of the present invention and without diminishing its attendant advantages. It is, therefore, intended that such changes and modifications be covered by the appended claims.

[illegible]



to a bi-polar digital signal with the other half wave producing a DC voltage regardless of the phase of the bi-polar signal;

a regulated power supply connected to a full wave bridge rectifier supplying power to the analog sound reproducing system; and

a regulated power source for the audio amplifiers.

4. The system according to Claim 1 further comprising:

means for simultaneously decoding a properly addressed digital control packet for control of the model locomotive's electric motor, control of the sound functions and on board special effects.

5. The system of Claim 1 further comprising the steps of:

a fixed external source of either AC or DC power and means for connecting a bi-polar digital signal to the sound unit;

means for filtering the low level signal noise in the reception of the bi-polar digital signal for power and control of the sound unit.

6. The system of Claim 1 further comprising:

means to synchronize sound effects through the use of a Hall effect sensor to trigger a plurality of speed sensitive sounds located in a model train locomotive or rail car based on a digital speed packet wherein the speed sensitive sounds are stored in the analog memory and include various analog samples that emulate different speeds and loads;

a controller that recalls the same plurality of synchronized sound effects at intervals appropriate to the speed of the locomotive depending on a digital command control speed packet wherein the same controller recalls a plurality of synchronized sound effects at

intervals appropriate to the speed of the locomotive using a speed sync sensor and further wherein the controller recalls asynchronous sound effects from the same analog memory or from additional analog memories for sound on sound.

7. The system of Claim 1 wherein the controller activates an automatic steam release sound effect upon sensing a zero speed packet with the correct address header and further activates an appropriate air compressor sound effect upon sensing the same zero speed packet and correct address header and still further activates lighting effects or other onboard special effects after receiving and decoding the properly addressed digital control packet.

8. The system of Claim 1 further comprising:  
a controller that will decode a three byte packet with an addressed header that matches the sound unit's discreet address in the range of 1 to 127 addresses for controlling a model train locomotive motor, sound effects and onboard special effects.

9. The system of Claim 1 further comprising:  
a controller that decodes a four byte packet with an address header that matches the sound units discreet address in the address range of 1 through 9999 for controlling a model train locomotive motor, sound effects and onboard special effects.

10. The system of Claim 1 further comprising:  
means for synchronizing the sound effects to the driver's wheels through decoding a properly addressed digital speed packet that controls the speed of the model locomotive and determines which sound effect to synchronize with the speed of the locomotive using the same digital speed packet.







## ABSTRACT OF THE DISCLOSURE

A system is provided for recording, storing and reproducing sound for playing back in an environment requiring simulated sounds, voices, and/or sound effects. Sounds are recorded on a chip and played back in an asynchronous manner from the chip as a result of activation of a switch or inertial movement within the system. A Hall-effect sensor, reed switch or momentary switch or the like may be implemented for enabling activation of the recorded sound from the chip for broadcasting. A compander compresses the sound on the chip and expands the compressed sound for playback.

Figure 1

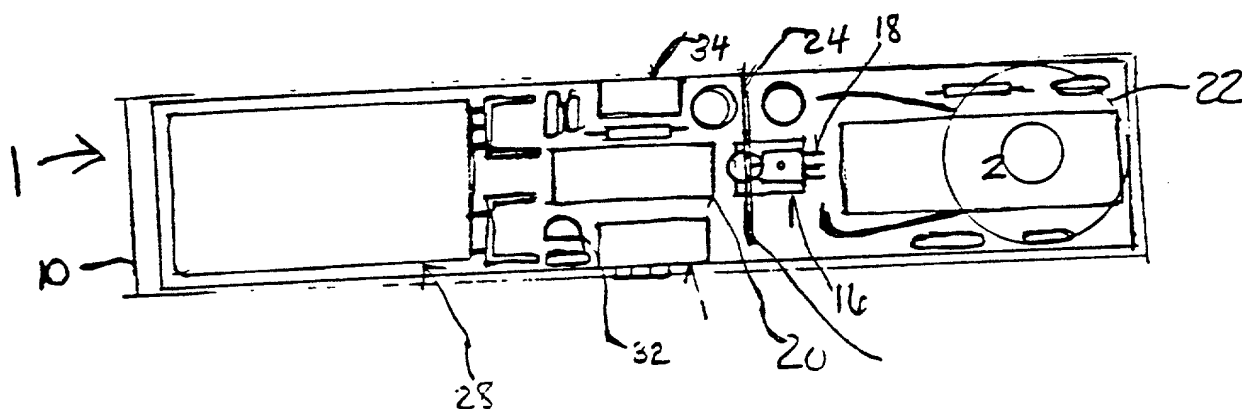
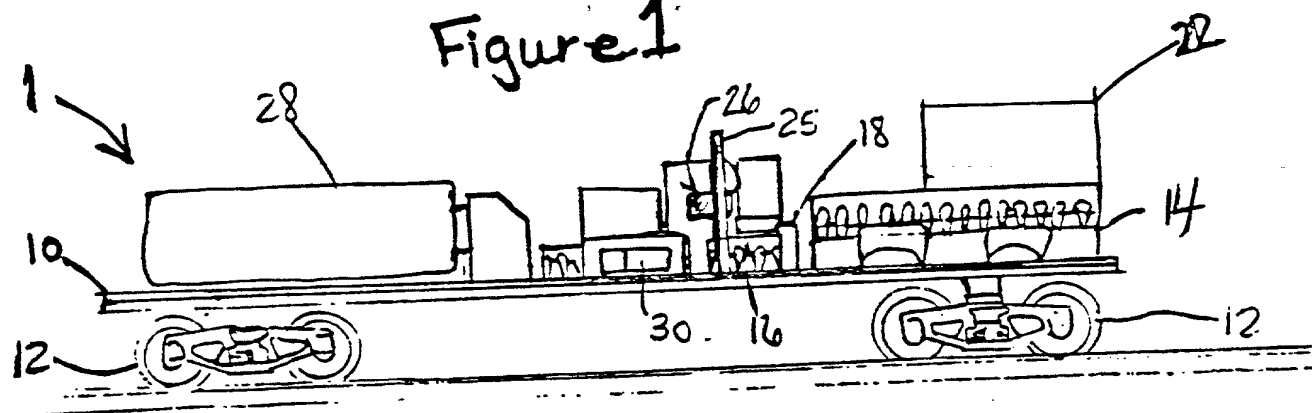


Figure 2

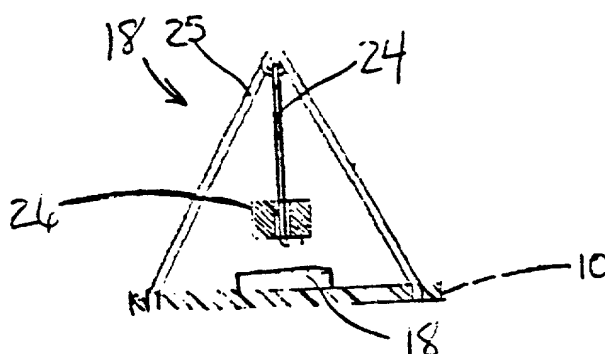
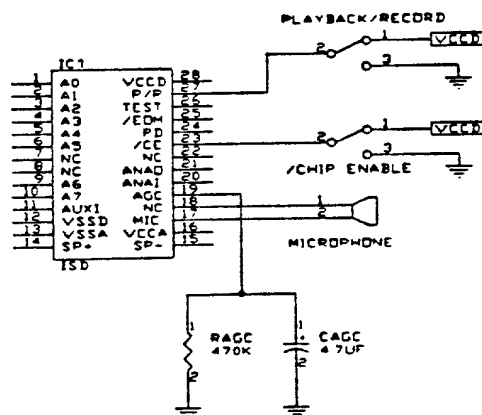
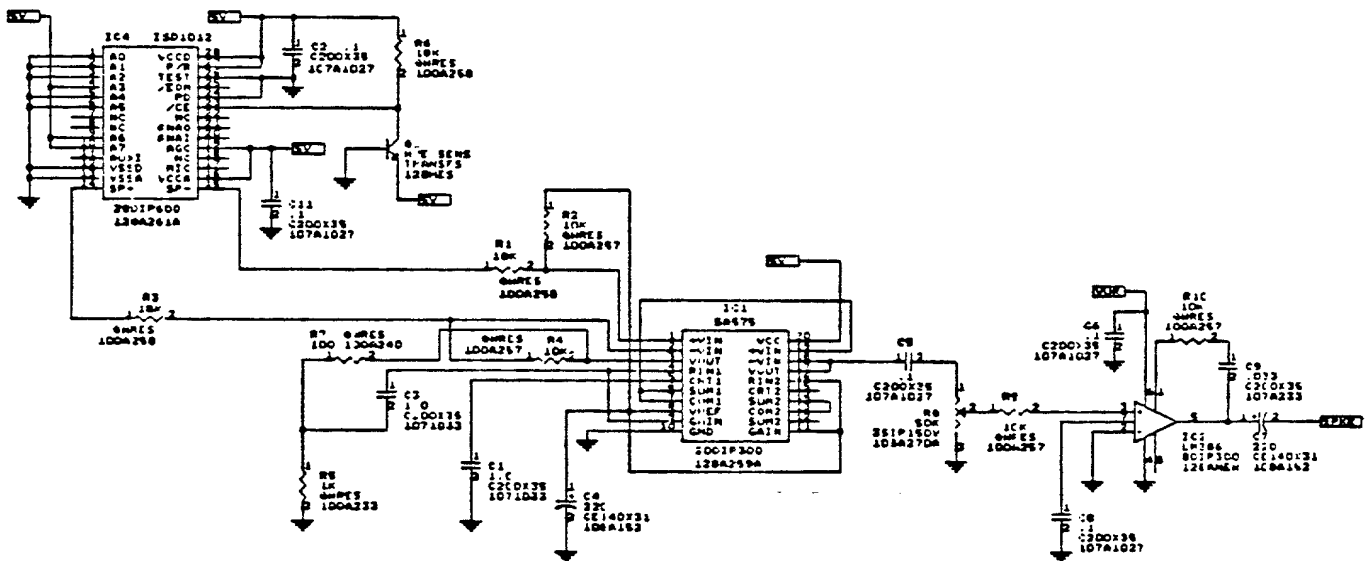
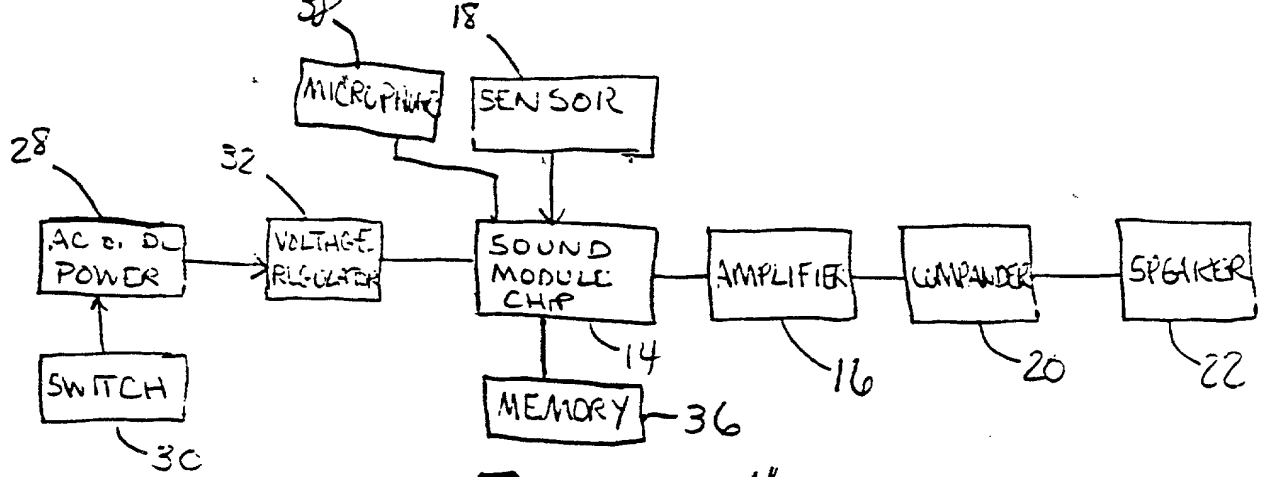


FIG. 3





201

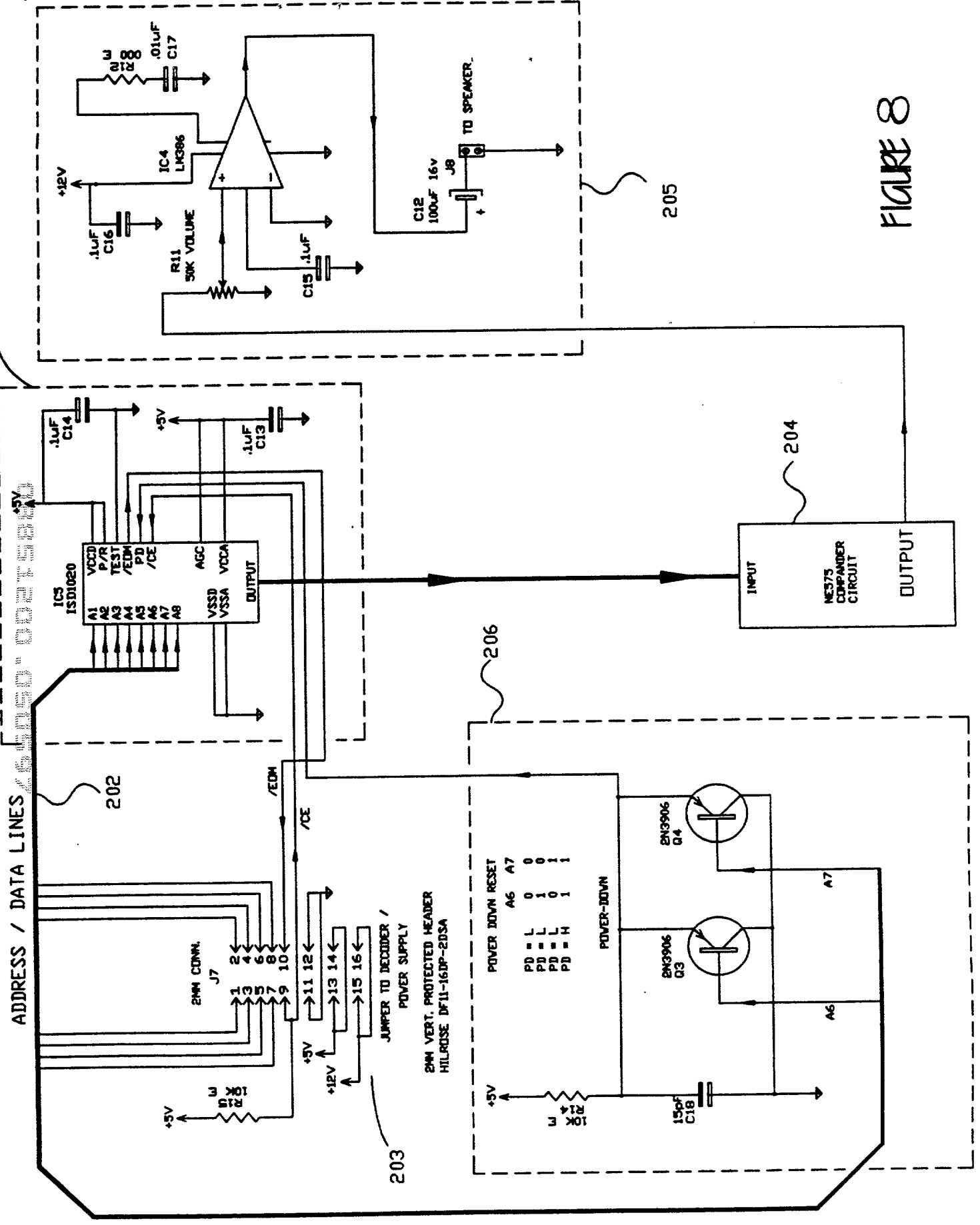


FIGURE 8

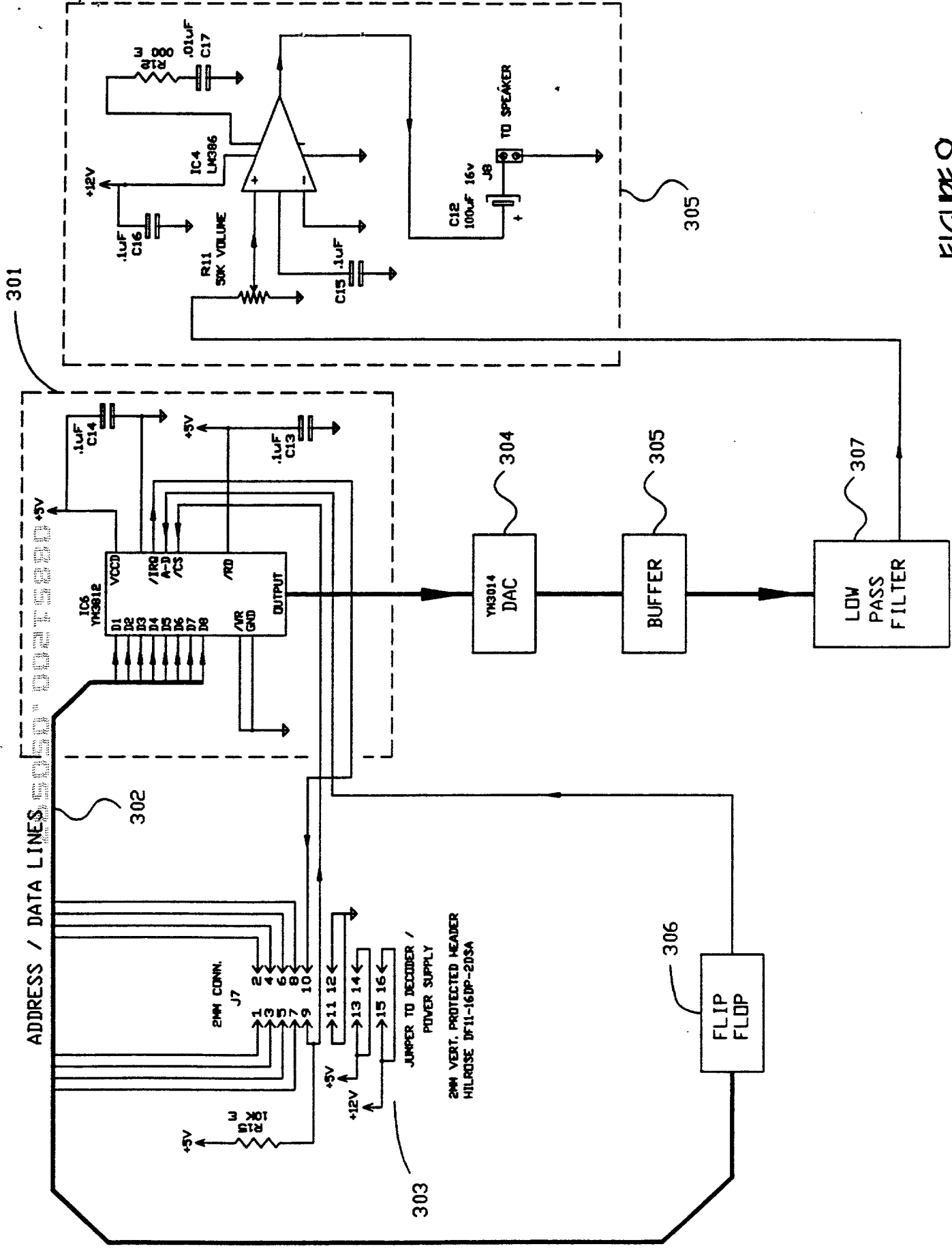


FIGURE 9

# DCC BASELINE PACKET 401

1= 58 $\mu$ s (52 $\mu$ s-64 $\mu$ s) 402

0> 100 $\mu$ s (90 $\mu$ s-10000 $\mu$ s) 403

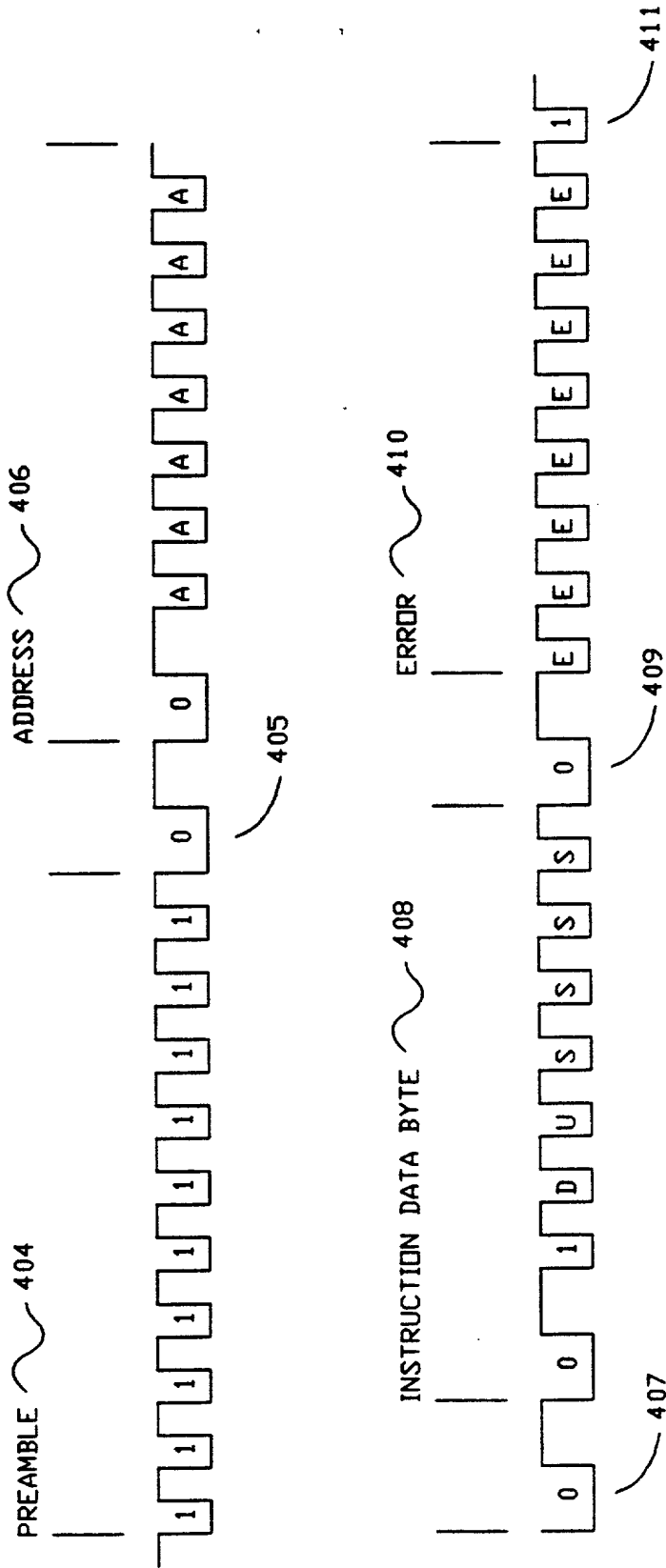
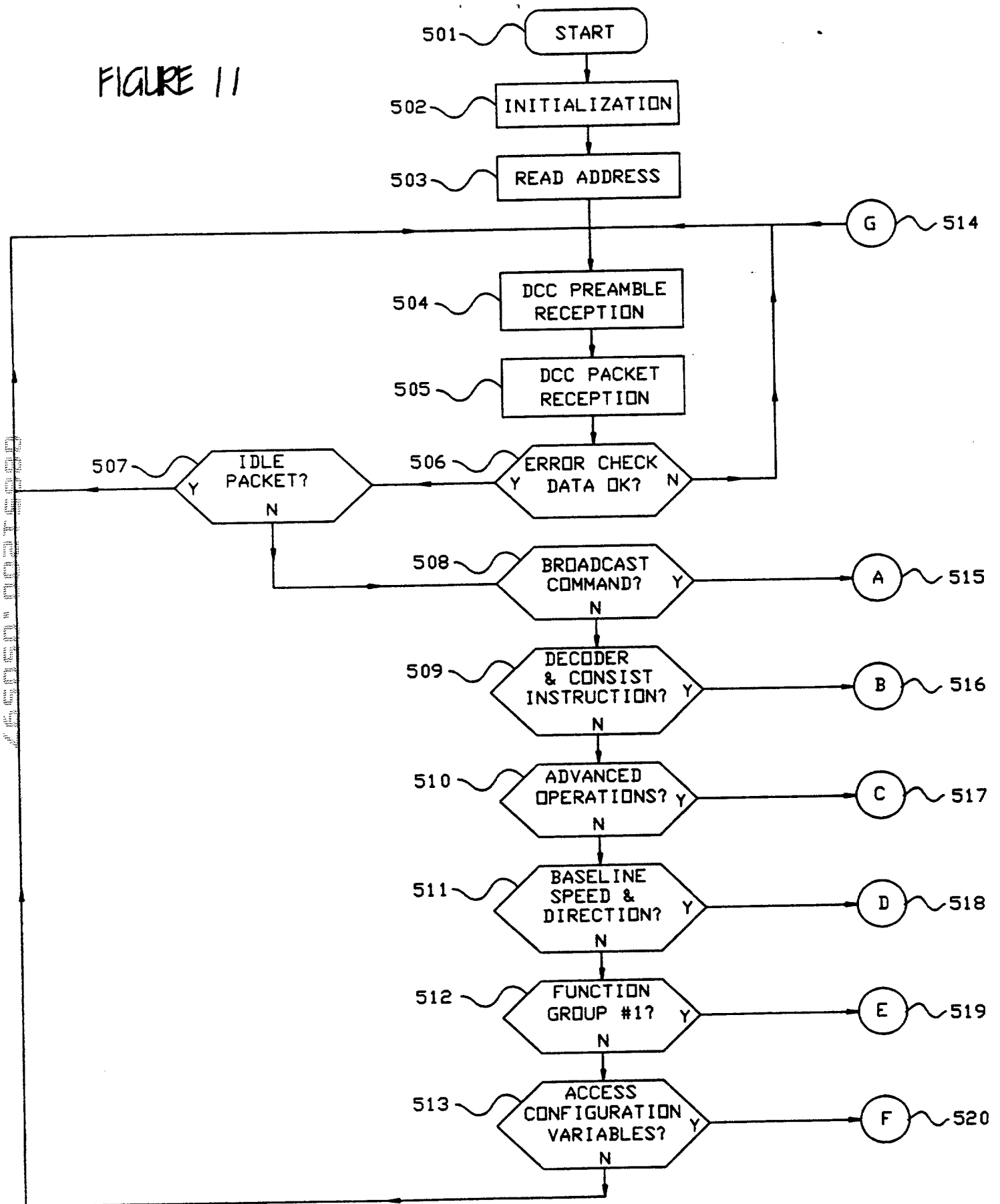


FIGURE 10

FIGURE 11





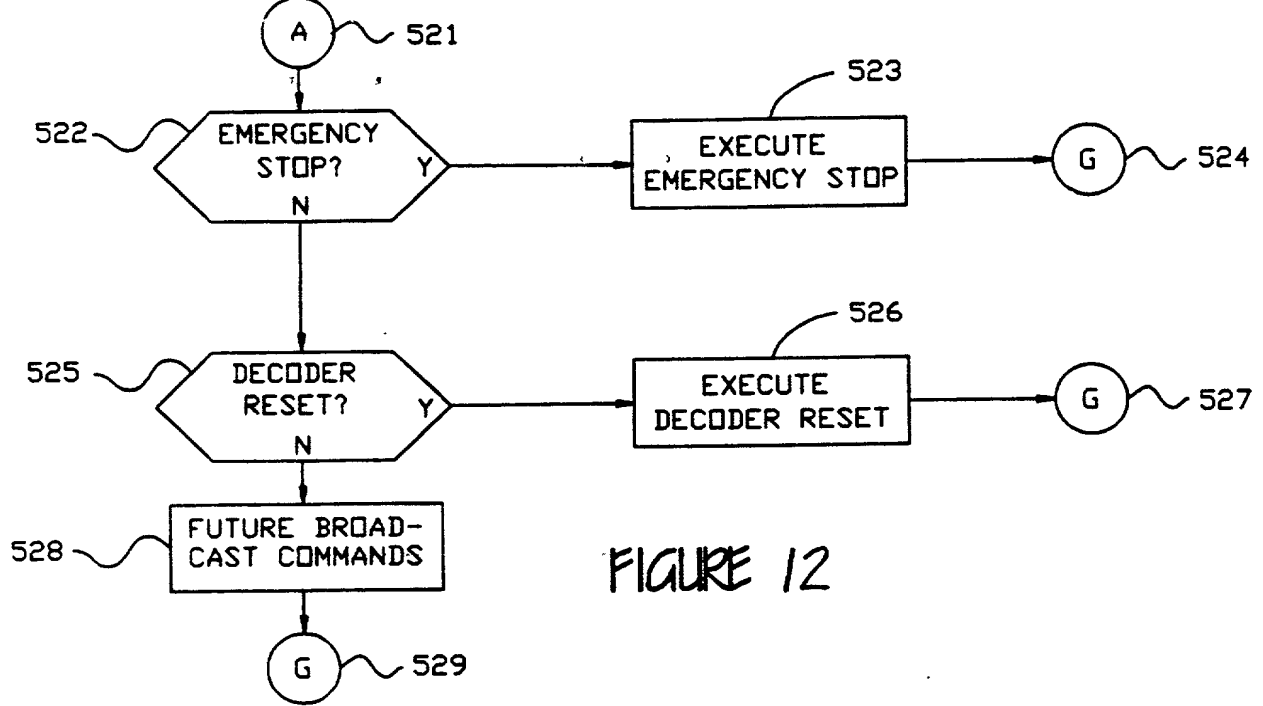


FIGURE 12

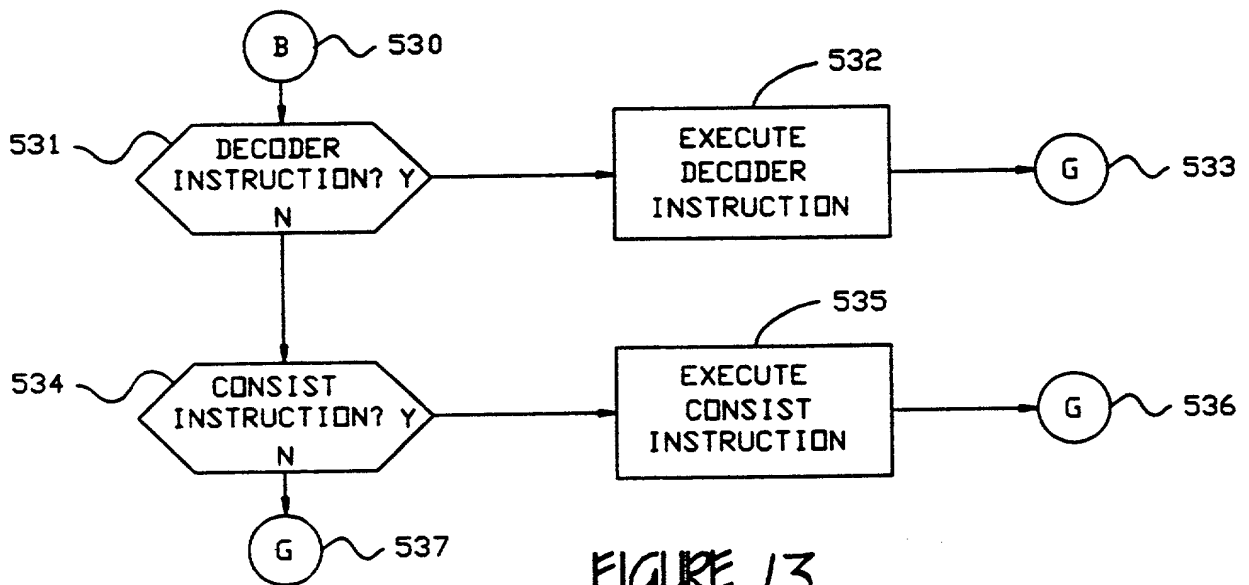
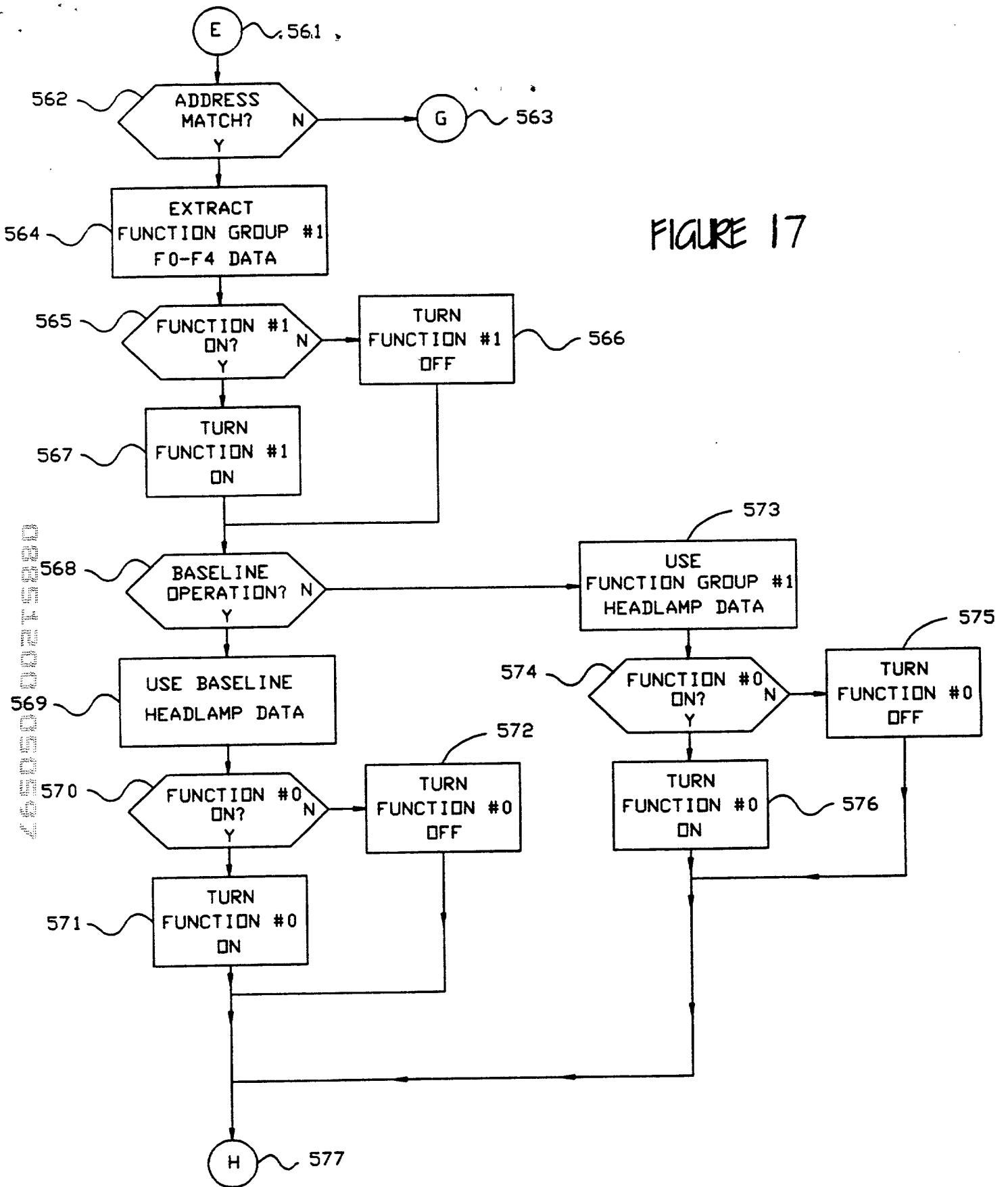


FIGURE 13

[illegible]

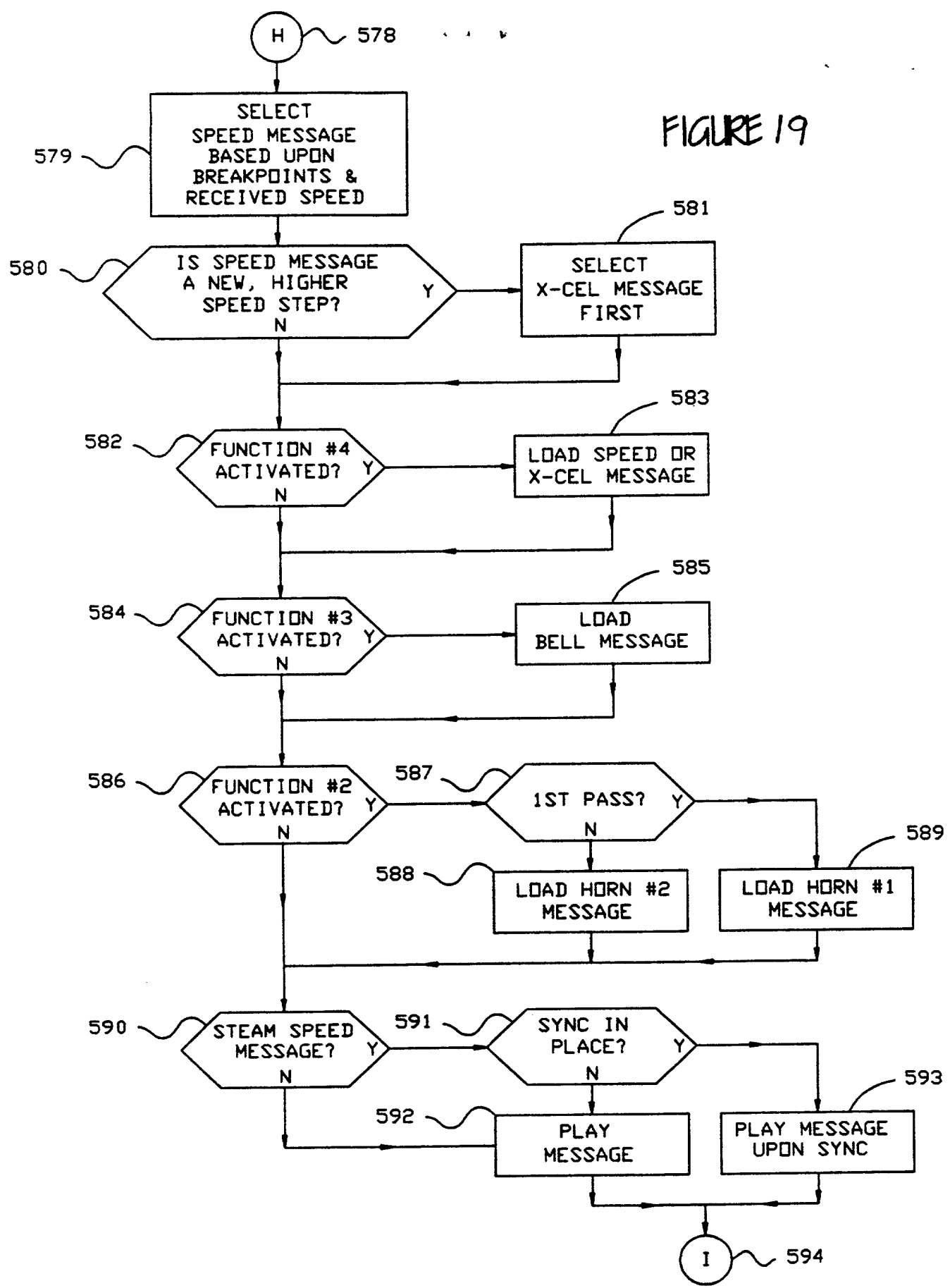






00215280 00000000

FIGURE 19



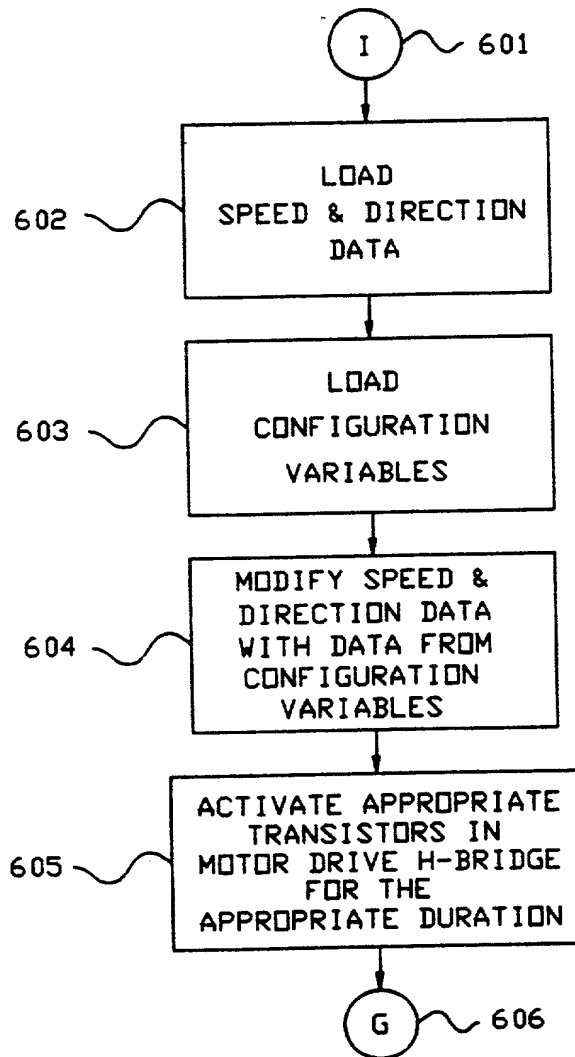


FIGURE 20

**CONTINUATION-IN-PART  
DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am one of the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"SOUND RECORDING AND REPRODUCTION SYSTEM"**

Case No. P97,1046; the specification of which *is a continuation-in-part application of U.S. Serial No. 08/289,257 filed on August 11, 1994.*

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.

I acknowledge the duty to disclose to the United States Patent Office all information known to me, which information is material to the examination of this application, in accordance with Title 37, Code of Federal Regulations, 1.56(a)<sup>1</sup>. I also acknowledge the duty to disclose to the United States Patent Office all information known to me to be material to patentability as defined in §1.56 which became available between the filing date of the prior application, **August 11, 1994**, and the filing date of this continuation-in-part application.

As to the subject matter of this application which is common to **U.S. Serial No. 08/289,257 filed on August 11, 1994**, I do not know and do not believe that this invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to the earlier application, I believe that the same was not in public use or on sale in the United States of America more than one year prior to this earlier application, and I believe that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this earlier application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this earlier application by me or my legal representatives or assigns, except as identified below.

As to the subject matter of this application which is not common to **U.S. Serial No. 08/289,257 filed on August 11, 1994**, I do not know and do not believe this invention was ever known or used

---

<sup>1</sup>(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of unpatentability.

A *prima facie* case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.



in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, I believe that the same was not in public use or on sale in the United States of America more than one year prior to this application, and I believe that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as identified below.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below:

Number	Prior Foreign Application(s) Country	Date
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and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the above listed application on which priority is claimed:

Number	Prior Foreign Application(s) Country	Date
--------	---	------

If no priority is claimed, I have identified all foreign patent applications filed prior to this application:

Number	Prior Foreign Application(s) Country	Date
--------	---	------

And I hereby appoint Messrs. John D. Simpson (Reg. No. 19,842), Lewis T. Steadman (17,074), Dennis A. Gross (24,410), Steven H. Noll (28,982), Kevin W. Guynn (29,927), Robert M. Barrett (30,142), Robert M. Ward (26,517), Brett A. Valiquet (27,841), Edward A. Lehman (22,312), James D. Hobart (24,149), Marvin Moody (16,549), Melvin A. Robinson (31,870), David R. Metzger (32,919), James Van Santen (16,584), William C. Stueber (16,453), and J. Arthur Gross (13,615) all members of the firm of Hill, Steadman & Simpson, A Professional Corporation

Telephone: 312/876-0200 Ext. 3165

my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and direct that all correspondence be forwarded to:

Hill, Steadman & Simpson  
A Professional Corporation  
85th Floor Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature \_\_\_\_\_  
Date \_\_\_\_\_  
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Date 4-25-97  
Residence ROCHESTER, MN  
Citizenship USA  
Post Office Address 1407-48th STREET N.W., ROCHESTER, MN 55901

4-25-97 "002" 55901

**CONTINUATION-IN-PART  
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As a below named inventor, I hereby declare that:

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I believe I am one of the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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  - (ii) Asserting an argument of unpatentability.

A *prima facie* case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, I believe that the same was not in public use or on sale in the United States of America more than one year prior to this application, and I believe that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as identified below.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below:

Number	Prior Foreign Application(s) Country	Date
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and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the above listed application on which priority is claimed:

Number	Prior Foreign Application(s) Country	Date
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If no priority is claimed, I have identified all foreign patent applications filed prior to this application:

Number	Prior Foreign Application(s) Country	Date
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And I hereby appoint Messrs. John D. Simpson (Reg. No. 19,842), Lewis T. Steadman (17,074), Dennis A. Gross (24,410), Steven H. Noll (28,982), Kevin W. Guynn (29,927), Robert M. Barrett, (30,142), Robert M. Ward (26,517), Brett A. Valiquet (27,841), Edward A. Lehman (22,312), James D. Hobart (24,149), Marvin Moody (16,549), Melvin A. Robinson (31,870), David R. Metzger (32,919), James Van Santen (16,584), William C. Stueber (16,453), and J. Arthur Gross (13,615) all members of the firm of Hill, Steadman & Simpson, A Professional Corporation

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my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and direct that all correspondence be forwarded to:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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